



Enhanced Competency Framework (ECF) on Retail Wealth Management 2019 Training and Examination Schedule

Training  - IA CPD  - HKIB CPD  - SFC CPT  - ECF CPD  - WAM Programme¹


Module Name	Module No	Application Type	Code	Date	Time	Application Deadline	Fee (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	Training	HQRM001PP190203	18, 25 Feb; 4, 11, 18 Mar (Mon)	19:00 – 22:00	12 Feb (Tue)	3,750
		Examination	HEERM1000190514	22 Jun (Sat)	19:00 – 21:30	23 May (Thu)	1,020
Investment Planning (Credit: 20)	2	Training	HQRM002PP190302	6, 12, 20, 27 Mar; 2 Apr (Tue & Wed)	19:00 – 22:00	27 Feb (Wed)	3,750
		Examination	HEERM2000190515	22 Jun (Sat)	19:00 – 21:30	23 May (Thu)	1,020
Essentials of Banking (Credit: 20)	3	Training	HQRM003PP190201	13, 20, 27 Feb (Wed); 4, 13 Mar (Mon & Wed)	19:00 – 22:00	8 Feb (Fri)	3,750
		Examination	HEERM3000190401	3 Apr (Wed) ²	19:00 – 21:30	4 Mar (Mon)	1,020
			HEERM3000190501	3 May (Fri)	19:00 – 21:30	4 Apr (Thu)	
Insurance and Retirement Planning (Credit: 20)	4	Training	HQRM004PP190303	14, 22, 28 Mar; 4, 12 Apr (Thu & Fri)	19:00 – 22:00	7 Mar (Thu)	3,750
		Examination	HEERM4000190516	22 Jun (Sat)	19:00 – 21:30	23 May (Thu)	1,020
Investment and Asset Management: Product Solutions (Credit: 20)	5	Training	HQRM005PP190401	4, 16 Apr (Thu & Tue); 9, 16, 23 May (Thu)	19:00 – 22:00	29 Mar (Fri)	3,750
		Examination	HEERM5000190704	5 Jul (Fri)	19:00 – 21:30	6 Jun (Thu)	1,020
			HEERM5000190714	20 Jul (Sat)	TBC	21 Jun (Fri)	
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	Training	HQRM006PP190301	5, 15, 20, 27 Mar (Tue, Fri, Wed); 4 Apr (Thu)	19:00 – 22:00	26 Feb (Tue)	3,750
		Examination	HEERM6000190602	6 Jun (Thu)	19:00 – 21:30	7 May (Tue)	1,020
Financial Planning and Wealth Management (Credit: 20)	7	Training	HQRM007PP190202	14, 19, 26 Feb; 4, 11 Mar (Mon, Tue & Thu)	19:00 – 22:00	1 Feb (Fri)	3,750
		Examination (Multiple Choice)	HEERM7M00190503	10 May (Fri)	19:00 – 20:15	11 Apr (Thu)	1,020
			HEERM7M00190507	18 May (Sat)	TBC	19 Apr (Fri)	
		Examination (Case & Oral)	HEERM7000190511	25 May (Sat)	Starts at 10:00	26 Apr (Fri)	
HEERM7000190611	22 Jun (Sat)		23 May (Thu)				

Programme Details:

Venue: 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C)
(unless other venue specify in training class confirmation / examination attendance notice)

Enrolment: By fax at (852) 2544 9946 or via Email at application@hkib.org or submit to our institute in person

Note:

-  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk.
- The exam for M3 which will be held on 3 Apr (Wed) serves as a re-sit exam only.
- A late entry fee of HK\$200 will apply for late training or examination enrolment.
- HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.
- The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are \$1,020 respectively.

For Enquiries:

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