



# Banking Insights - Practical Update: Implementation to the Competition Ordinance Impact on the Banking Industry

23 February 2018



HKIB CPD	SFC CPT
3 Core Hours	3 Hours

## Objectives

The Competition Ordinance was passed on 14 June 2012 by the Legislative Council. The purpose of the ordinance is to prohibit conduct that limits or restricts competition in Hong Kong in particular general business sectors. The inception of the Competition Ordinance will bring profound change to business practice in Hong Kong. In this circumstance, banking industry and practitioners should review their current practices and be well prepared to the new requirements under the Competition Ordinance.

By completion of this program, participants should be able to have a practical understanding of the prohibited anti-competition conducts, regulatory framework, implementation and its enforcement in general business sectors, and the impact on the banking industry.

## Programme Outline

- Historical background of the Competition law in other countries and in Hong Kong
- Introduction to the Competition Ordinance in Hong Kong
- Practical implications of the Competition Ordinance
  - Examples of the kind of conduct which will likely raise concerns in the banking industry, general business sectors and industry associations
  - Potential risk areas (e.g. human resources, sales and marketing, syndicated loans arrangements, etc.) for bankers operating in Hong Kong
- Case sharing and discussion. Examples include:
  - RBS and Barclays;
  - British Airways and Virgin;
  - TVB and ATV;
  - Deutsche Telekom;
  - AMD and Intel; and
  - Del Monte and DOLE
- DOs and DON'Ts for banks in Hong Kong

## Target Audience

Staff members from financial institutes who wish to consolidate their knowledge in Competition Ordinance are welcome to enroll in this course, in particular compliance, risk management and internal audit staff.

## Speaker

The trainer has more than 20 years of working experiences, dedicating more than 10 years as a Barrister-at-law with specialization in Corporate and Commercial Law. He had worked at a Big Four international accounting and audit firm as a Tax Accountant. He was awarded his Master of Laws (LL.M) in Commercial and Corporate Law, the Postgraduate Certificate in Law (PCLL) and his Bachelor of Laws (Hons) (LL.B). He has also been appointed by the HKSAR Government as the public offices.

## Programme Details

Language	: Cantonese (supplemented with English materials)
Date	: 23 February 2018 (Friday)
Time	: 19:00 - 22:00
Duration	: 3 hours
Venue	: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Sheung Wan (Sheung Wan MTR Station Exit C)
Fee	: HK\$900 (HKIB Individual Member) HK\$1,080 (Staff of HKIB Corporate Member) HK\$1,200 (Non-member)
Enrollment	: By fax at 2544 9946 or Submit to our Institute in person
Enquiries	: (852) 2153 7877 / (852) 2153 7865
Email	: programme@hkib.org

## Registration Form

Implementation to the Competition Ordinance Impact on the Banking Industry (HP-P18-0208)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organization : _____
Department : _____	Position : _____
E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No : _____
Mailing Address : _____ <small>(Please complete the below address box if you want to collect the programme certificate)</small>	

Payment Method :  A cheque / e-Cheque\* made payable to "The Hong Kong Institute of Bankers" (Cheque no. \_\_\_\_\_)  
\* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card :  VISA  Master      Amount : HK\$ \_\_\_\_\_

Cardholder's Name : \_\_\_\_\_      Signature : \_\_\_\_\_

Card No. : \_\_\_\_\_      Expiry Date : \_\_\_\_\_  
(mm/yy)

## How did you know about this programme?

- Website       HR Department       E-News       Others (Please specify)  
 Friends / Colleagues       Banking Today       HKIB Counter

### Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a "first-come-first-serve" basis. HKIB Member will have priority to register if there is limited seat remained for the programme.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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\* This address is only used for sending the programme certificate

Name : _____	Name : _____
Address : _____	Address : _____