



Enhanced Competency Framework (ECF) on Retail Wealth Management

2018 Training and Examination Schedule – 1st Intake

| Module Name | Module No | Application Type | Code | Date (2018) | Time | Application Deadline | Fee (HK\$) |
|---|-----------|---|-----------------|--|------------------------------|----------------------|--------------------|
| Regulatory Environment for Banking and Financial Planning (Credit: 20) | 1 | Training  | HQRM10PP0180301 | 12, 14, 19, 21 & 26 March (Mon & Wed) | 19:00 – 22:00 | 5 March (Mon) | 3,750 |
| | | Examination | HE-RM1-180402 | 11 April (Wed) | 19:00 – 21:30 | 14 March (Wed) | 1,000 |
| Investment Planning (Credit: 20) | 2 | Training  | HQRM20PP0180302 | 13, 15, 20, 22 & 27 March (Tue & Thu) | 19:00 – 22:00 | 6 March (Tue) | 3,750 |
| | | Examination | HE-RM2-180403 | 11 April (Wed) | 19:00 – 21:30 | 14 March (Wed) | 1,000 |
| Essentials of Banking (Credit: 20) | 3 | Training  | HQRM30PP0180303 | 20, 21, 27 & 28 March (Tue & Wed) ; 4 April (Wed) | 19:00 – 22:00 | 13 March (Tue) | 3,750 |
| | | Examination | HE-RM3-180404 | 26 April (Thu) | 19:00 – 21:30 | 26 March (Mon) | 1,000 |
| Insurance and Retirement Planning (Credit: 20) | 4 | Training  | HQRM40PP0180304 | 14, 16, 21, 23 & 28 March (Wed & Fri) | 19:00 – 22:00 | 7 March (Wed) | 3,750 |
| | | Examination | HE-RM4-180405 | 11 April (Wed) | 19:00 – 21:30 | 14 March (Wed) | 1,000 |
| Investment and Asset Management: Product Solutions (Credit: 20) | 5 | Training  | HQRM50PP0180305 | 13, 27 & 29 March (Tue & Thu) 3, 10 April (Tue) | 19:00 – 22:00 | 6 March (Tue) | 3,750 |
| | | Examination | HE-RM5-180406 | 27 April (Fri) | 19:00 – 21:30 | 29 March (Thu) | 1,000 |
| Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20) | 6 | Training  | HQRM60PP0180306 | 15, 19, 22, 26, 29 March (Mon, Thu) | 19:00 – 22:00 | 8 March (Thu) | 3,750 |
| | | Examination | HE-RM6-180407 | 12 April (Thu) | 19:00 – 21:30 | 15 March (Thu) | 1,000 |
| Financial Planning and Wealth Management ³ (Credit: 20) | 7 | Training  | HQRM70PP0180307 | 13, 15, 20, 22 & 27 March (Tue & Thu) | 19:00 – 22:00 | 6 March (Tue) | 3,750 |
| | | Examination (Multiple Choice) | HE-RM7M-180408 | 10 April (Tue) | 19:00 – 20:15 | 13 March (Tue) | 1,000 ⁴ |
| | | Examination (Case and Oral) | HE-RM7-180409 | 14 April (Sat) | Starts at 10:00 ² | 13 March (Tue) | |

Programme Details:

- Training Class Language: : Cantonese (supplemented with English materials)
- Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specify in training class confirmation / examination attendance notice)
- Enrolment : By fax at 2544 9946 or submit to the institute in person


Note: 1. HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

2. Please reserve a whole day for the M7 Case and Oral Examination. Exact time slot will be provided on the Examination Attendance Notice. The proceedings of oral examination will be audio-recorded.

3. Candidates are required to pass or have obtained exemption for M1 to M6 [or have enrolled for re-sit examination for failed module(s)] before attempting M7.

4. For re-sit application of M7, examination fee will be charged per examination.

5. Please complete "[Exemption Form](#)" to apply exemption for M1, M2 and M4.

6.  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk.

For Enquiries:

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