



## Enhanced Competency Framework (ECF) on Retail Wealth Management 2018 Training and Examination Schedule – 3<sup>rd</sup> Intake

Module Name	Module No	Application Type	Code	Date (2018)	Time	Application Deadline	Fee (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	Training  6	HQRM001PP180601	13, 20 & 27 Jun (Wed) 4, 11 Jul (Wed)	19:00 – 22:00	4 Jun (Mon)	3,750
		Examination	HERM10000180804	8 Aug (Wed)	19:00 – 21:30	9 Jul (Mon)	1,000
Investment Planning (Credit: 20)	2	Training  6	HQRM002PP180602	15, 22 & 29 Jun (Fri) 6, 13 Jul (Fri)	19:00 – 22:00	6 Jun (Wed)	3,750
		Examination	HERM20000180805	8 Aug (Wed)	19:00 – 21:30	9 Jul (Mon)	1,000
Essentials of Banking (Credit: 20)	3	Training  6	HQRM003PP180703	5, 9, 16, 19 & 23 Jul (Mon & Thu)	19:00 – 22:00	28 Jun (Thu)	3,750
			HQRM003PP180708 (Putonghua Class)	26, 27 Jun 17, 25 & 27 Jul		15 Jun (Fri)	
		Examination	HERM30000180802	6 Aug (Mon)	19:00 – 21:30	6 Jul (Fri)	1,000
Insurance and Retirement Planning (Credit: 20)	4	Training  6	HQRM004PP180604	19, 26 Jun (Tue) 5, 12 & 19 Jul (Thu)	19:00 – 22:00	8 Jun (Fri)	3,750
		Examination	HERM40000180806	8 Aug (Wed)	19:00 – 21:30	9 Jul (Mon)	1,000
Investment and Asset Management: Product Solutions (Credit: 20)	5	Training  6	HQRM005PP180705	11, 13, 18, 20 & 25 Jul (Wed & Fri)	19:00 – 22:00	29 Jun (Fri)	3,750
		Examination	HERM50000180809	14 Aug (Tue)	19:00 – 21:30	16 Jul (Mon)	1,000
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	Training  6	HQRM006PP180606	26 Jun (Tue) 3, 10, 17 & 24 Jul (Tue)	19:00 – 22:00	15 Jun (Fri)	3,750
		Examination	HERM60000180807	9 Aug (Thu)	19:00 – 21:30	9 Jul (Mon)	1,000
Financial Planning and Wealth Management <sup>3</sup> (Credit: 20)	7	Training  6	HQRM007PP180607	26 Jun (Tue) 3, 10, 17 & 24 Jul (Tue)	19:00 – 22:00	15 Jun (Fri)	1,000 <sup>4</sup>
		Examination (Multiple Choice)	HERM7M000180803	7 Aug (Tue)	19:00 – 20:15	6 Jul (Fri)	
		Examination (Case and Oral)	HERM70000180808	11 Aug (Sat)	Starts at 10:00 <sup>2</sup>	11 Jul (Wed)	

**Programme Details:**

Training Class Language: : Cantonese (supplemented with English materials)

Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C)  
(unless other venue specify in training class confirmation / examination attendance notice)

Enrolment : By fax at 2544 9946 or submit to the institute in person

**Note:** 1. HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

2. Please reserve a whole day for the M7 Case and Oral Examination. Exact time slot will be provided on the Examination Attendance Notice. The proceedings of oral examination will be audio-recorded.

3. Candidates are required to pass or have obtained exemption for M1 to M6 [or have enrolled for re-sit examination for failed module(s)] before attempting M7.

4. For re-sit application of M7, examination fee will be charged per examination.

5. Please complete "Exemption Form" to apply exemption for M1, M2 and M4.

6. The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: [www.wamtalent.org.hk](http://www.wamtalent.org.hk).

**For Enquiries:**

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C)  
Tel: (852) 2153 7800 Email: [ecf.rwm@hkib.org](mailto:ecf.rwm@hkib.org)  
Fax: (852) 2544 9946