



CPD & CPT Programme Series: Banking and Financial Services

June – July 2018



Enjoy a 10% discount off for enrolling 2 or more modules in one time

HKIB CPD SFC CPT IA CPD

Programme Objectives & Outlines

Programme & Module	Objectives	Outlines
Financial System and Risk Management (Ref. #: 80/74/23)		
Regulatory Requirements of Risk Management (HD-P18-0611)	3 Hours <ul style="list-style-type: none"> Understand how risk management influence doing business Understand the global standard on risk management 	<ul style="list-style-type: none"> Regulatory requirement for banks Risk governance Risk management under global standard Basel committee
Structuring Risk Management Functions (HD-P18-0718)	3 Hours <ul style="list-style-type: none"> Explain the rationale for financial regulation Understand the function of Financial and Audit departments Access the risk management tool 	<ul style="list-style-type: none"> Organization of risk management functions Risk management tools Risk categories in global bank
中國經濟及金融業務課程 (Ref. #: 80/74/20)		
政治概覽 (HD-P18-0703)	3 Hours <ul style="list-style-type: none"> 理解中國政治發展的軌跡和現狀。 認識中國政府架構及官員職級。 理解內地官場文化，便於銀行同事與內地政府部門及人員交往。 	<ul style="list-style-type: none"> 國家基本政治制度 政府架構及運作 國內流行的常用政治「術語」 國策對經濟及民生的影響 中、港兩地對國內政治觀點的異同
經濟概覽 (HD-P18-0701)	3 Hours <ul style="list-style-type: none"> 通過對中國經濟及其創造經濟財富的主體-企業家的認識，提升銀行同事對於中國經濟的整體瞭解，同時把握在金融危機影響下中國經濟的整體核心競爭力以及中國經濟未來十大財富新熱點，增強對內地人士、內地同行以及同事所處環境的瞭解，增加與他們進行有效溝通、交流的話題。 	<ul style="list-style-type: none"> 中國經濟形勢分析及展望 中國經濟迎接環球金融危機新挑戰 中國經濟未來新財富熱點 中國房地產市場 當前中國經濟的“熱話” 心態分析 如何提升整體對財富的認識 中國企業可持續發展方針
民生概覽 (HD-P18-0702)	3 Hours <ul style="list-style-type: none"> 讓銀行同事比較深入地瞭解當前中國在經濟改革過程中重要的民生議題，一方面增強銀行同事對國情的瞭解，另一方面，也增加銀行同事與內地民眾及同行同事打交道時的話題與內容，增強溝通的有效性。 	<ul style="list-style-type: none"> 中國的民生熱點問題 內地人民生活文化特色 內地職場達人的特色 各大商業都市的地道文化 成功的國內商業溝通技巧 中國發展的走向及改革所伴生的問題
環球財富保障 (HD-P18-0609)	PWMA OPT 3 Hours <ul style="list-style-type: none"> 幫助財富管理工作的前線人員進一步了解內地客戶之理財摘要，認識信託及離岸財富管理的業務特性及重要性，為內地客戶提供合適之財富管理計劃，以達到環球財富保障計畫之目的。 	<ul style="list-style-type: none"> 財富保存的重要性 信託的介紹 離岸財富管理
Banking Services and Professional Ethics (Ref. #: 80/74/24)		
Treasury and Banking Operations (HD-P18-0714)	2 Hours <ul style="list-style-type: none"> Identify the differences between investment and treasury Recognize some common products in the equity, money, and currency markets Describe the operations of bank treasury units 	<ul style="list-style-type: none"> Asset & liability management Treasury operations
Corporate and Individual Ethics (HD-P18-0707)	3 Hours <ul style="list-style-type: none"> Discuss different perspectives on ethics List the expectations regarding corporate social responsibility, corporate accountability & corporate citizens 	<ul style="list-style-type: none"> What are ethics? Putting ethics into practice individually Social & environmental responsibilities Reputation risk management Ethical dilemmas
Business Ethical & Internal Control (HD-P18-0708)	3 Hours <ul style="list-style-type: none"> Identify the relationships between ethics and internal control 	<ul style="list-style-type: none"> Corporate governance Internal control Laws regarding financial services Using codes of practice Internal controls & audits



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Target Audience

- Any party who are interested in and wish to enhance the knowledge of banking and financial services
- Insurance Intermediaries and Brokers, SFC Licensees and HKIB Qualification Holders who are registered as CPD, CPT & OPT hours required

Programme Details

Language : Cantonese (supplemented with English materials)
Date : June – July 2018
E-mail : programme@hkib.org
Enquiry Hotline : 2153 7877 / 2153 7865
Enrollment : By fax at 2544 9946 / In person to our Institute

Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Sheung Wan

Special Offer : **Enroll 2 or more modules in one time, enjoy a 10% discount off**

Registration Form

CPD & CPT Programme Series: Banking and Financial Services

Programmes		Time	Course Fees (Regular Price/ Discount Price)
Financial System and Risk Management	<input type="checkbox"/> Regulatory Requirements of Risk Management (HD-P18-0611) 3 Hours	26 June 2018 (Tue) 19:00 – 22:00	Price for Programmes with 2 Training Hours <input type="checkbox"/> Individual Member (HK\$600 / HK\$540) x ____ Module(s) = HK\$ _____ <input type="checkbox"/> Staff of Corporate Member (HK\$700 / HK\$630) x ____ Module(s) = HK\$ _____ <input type="checkbox"/> Non-member (HK\$800 / HK\$720) x ____ Module(s) = HK\$ _____ Price for Programmes with 3 Training Hours <input type="checkbox"/> Individual Member (HK\$900 / HK\$810) x ____ Module(s) = HK\$ _____ <input type="checkbox"/> Staff of Corporate Member (HK\$1,080 / HK\$970) x ____ Module(s) = HK\$ _____ <input type="checkbox"/> Non-member (HK\$1,200 / HK\$1,080) x ____ Module(s) = HK\$ _____
	<input type="checkbox"/> Structuring Risk Management Functions (HD-P18-0718) 3 Hours	23 July 2018 (Mon) 19:00 – 22:00	
中國經濟及金融業務課程	<input type="checkbox"/> 政治概覽 ((HD-P18-0703) 3 Hours	25 July 2018 (Wed) 19:00 – 22:00	
	<input type="checkbox"/> 經濟概覽 (HD-P18-0701) 3 Hours	10 July 2018 (Tue) 19:00 – 22:00	
	<input type="checkbox"/> 民生概覽 (HD-P18-0702) 3 Hours	12 July 2018 (Thu) 19:00 – 22:00	
	<input type="checkbox"/> 環球財富保障 (HD-P18-0609) 3 Hours	23 June 2018 (Sat) 14:00 – 17:00	
Banking Services and Professional Ethics	<input type="checkbox"/> Treasury and Banking Operations (HD-P18-0714) 2 Hours	20 July 2018 (Fri) 19:00 – 21:00	
	<input type="checkbox"/> Corporate and Individual Ethics (HD-P18-0707) 3 Hours	7 July 2018 (Sat) 14:00 – 17:00	
	<input type="checkbox"/> Business Ethical & Internal Control (HD-P18-0708) 3 Hours	4 July 2018 (Wed) 19:00 – 22:00	

Full Name : Mr / Ms _____ (In English as on ID Card)
 Full Name : _____ (In Chinese)
 Membership No : _____
 Organization : _____
 Department : _____
 Position : _____
 E-mail : _____
 Mobile / Phone No : _____
 (Confirmation will be sent via e-mail one week before programme commences)

Mailing Address : _____
 (Please provide a mailing address if you want to collect the programme certificate)

Payment Method : Crossed cheque made payable to **The Hong Kong Institute of Bankers** (Cheque no.: _____)
 * For e-Cheque, please state the **programme code** under 'remarks' and email together with the **completed enrolment form** to **programme@hkib.org**
 Credit Card : VISA Master Amount : HK\$ _____
 Cardholder's Name : _____ Signature : _____
 Card No. : _____ Expiry Date : _____ (mm/yy)

How did you know about this programme?

- Website HR Department E-News Others (Please specify)
 Friends / Colleagues Banking Today HKIB Counter

Terms and Conditions

- All fees paid are non-refundable and non-transferable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority to register if there is limited seat remained for the programme.
- HKIB will issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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