Programme No.: HD-P18-0808

Introduction to Financial Fraud

4, 11 & 25 August 2018

ECF AML/CFT CPD	HKIB CPD	SFC CPT
9 Hours	9 Hours	9 Hours

Programme Objectives

According to the report to the Nations on Occupational Fraud and Abuse, a typical organization loses 5% of its revenues to fraud per annum. In extreme situations, fraud can destroy entire companies like Enron, Arthur Anderson, etc. The importance of fraud investigation, especially for financial service industry, is increasing due to the rapid change of business world and the stick requirement from regulators. Fraud detecting and preventing becomes a hot topic in the corporate governance regime.

The programme provides an in-depth knowledge of the financial fraud in global level. Upon completion of this programme, students will be able to identify the warning signs that indicate evidence of fraud & fraud risk, and implementing processes to prevent frauds to occur

Programme Outline

- Definition of Fraud and Types of Fraud
- Background of financial Fraud Investigation
- Cost of Financial Fraud
- Trends in Financial Fraud in Global and Local Context
- Financial Statement Fraud
- Financial Contract Fraud
- Asset Misappropriation
  - Cash Receipts
  - Fraudulent Disbursement
  - Inventory & Other Assets
- Financial Institution Fraud (cases will be illustrated in each fraud situation)
- Overall Case Study
- Implementation of Fraud Investigation Program

Target Audience

Risk management officers, legal executives, fraud investigation officers, compliance officers, internal auditors, external auditors, bankers, insurance underwriters, business development officers, investors.

Speaker

The speaker has over 10 years of M&A, corporate finance, private equity investment, real estate acquisition and management experience in China. He is currently working as a senior investment director in a private equity alternative investment fund. He holds a Master of Law degree, Postgraduate Diploma in accountancy & Bachelor of Business Administration (financial engineering). He is a CFA, CAIA, FRM and licensed China Corporate Legal Counsel, certified China Economist, certified China Banker (credit analysis). He also works seasonally as an instructor for legal, compliance, finance and management subjects in various universities and associations. He also serves as legal & investment consultant for American & Chinese Cultural Exchange Association.

Programme Details

Language	:	Cantonese (supplemented with English materials)
Date	:	4, 11 & 25 August 2018 (Saturday)
Time	:	10:00 – 13:00
Duration	:	9 hours
Venue	:	3/F Guangdong Investment Tower, 148 Connaught Road Central, Sheung Wan (Sheung Wan MTR Station Exit C)
Fee	:	HK$2,700 (HKIB Individual Member) HK$3,240 (Staff of HKIB Corporate Member) HK$3,600 (Non-member)
Enrollment	:	By fax at 2544 9946 or Submit to our Institute in person
Enquiries	:	(852) 2153 7877
Email	:	programme@hkib.org

Registration Form

Introduction to Financial Fraud (HD-P18-0808)

Full Name : Mr / Ms _____ Full Name : _____
(In English as on ID Card) (In Chinese)

Membership No : _____ Organization : _____

Department : _____ Position : _____

E-mail : _____ Mobile / Phone No : _____
(Confirmation will be sent via e-mail one week before programme commences)

Mailing Address : _____
(Please provide a mailing address if you want to collect the programme certificate)

Payment Method : ☐ A cheque / e-Cheque* made payable to "**The Hong Kong Institute of Bankers**" (Cheque no. _____)

* For e-Cheque, please state the **programme code** under 'remarks' and email together with the **completed enrolment form** to **programme@hkib.org**

☐ Credit Card : ☐ VISA ☐ Master Amount : HK$ _____

Cardholder's Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____ (mm/yy)

How did you know about this programme?

☐ Website ☐ HR Department ☐ E-News ☐ Others (Please specify) _____

☐ Banking Today ☐ Friends / Colleagues ☐ HKIB Counter

Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority to register if there is limited seat remained for the programme.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).

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