











Enhanced Competency Framework (ECF) on Retail Wealth Management

2018 Training and Examination Schedule – 4th Intake

Module Name	Module No	Application Type	Code	Date (2018)	Time	Application Deadline	Fee (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	Training  ⁶	HQRM001PP180801	1, 8, 15, 22 & 29 Aug (Wed)	19:00 – 22:00	23 Jul (Mon)	3,750
		Examination	HERM10000181101	9 Nov (Fri)	19:00 – 21:30	9 Oct (Tue)	1,000
Investment Planning (Credit: 20)	2	Training  ⁶	HQRM002PP180802	3, 10, 17, 24 & 31 Aug (Fri)	19:00 – 22:00	25 Jul (Mon)	3,750
		Examination	HERM20000181102	9 Nov (Fri)	19:00 – 21:30	9 Oct (Tue)	1,000
Essentials of Banking (Credit: 20)	3	Training  ⁶	HQRM003PP180803	25, 27, 31 Jul (Tue, Wed & Fri) 1 & 3 Aug (Tue & Fri)	19:00 – 22:00	16 Jul (Thu)	3,750
			Examination	HERM30000180904	17 Sep (Mon)	15:00 – 17:30	17 Aug (Fri)
		HERM30000180905		17 Sep (Mon)	19:00 – 21:30	17 Aug (Fri)	
Insurance and Retirement Planning (Credit: 20)	4	Training  ⁶	HQRM003PP180804	2, 9, 16, 23 & 30 Aug (Thu)	19:00 – 22:00	24 Jul (Tue)	3,750
			HQRM004PP180805 (Putonghua Class)	21, 22, 24 & 25 Aug (Tue, Wed, Fri & Sat)	19:00 – 22:00 10:00 – 17:00	27 Jul (Fri)	
		Examination	HERM40000181103	9 Nov (Fri)	19:00 – 21:30	9 Oct (Tue)	1,000
Investment and Asset Management: Product Solutions (Credit: 20)	5	Training  ⁶	HQRM005PP180806	2, 9, 16, 23 & 30 Aug (Thu)	19:00 – 22:00	24 Jul (Wed)	3,750
		Examination	HERM500001801005	4 Oct (Thu)	19:00 – 21:30	4 Sep (Tue)	1,000
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	Training  ⁶	HQRM006PP180807	3, 10, 17, 21 & 31 Aug (Tue & Fri)	19:00 – 22:00	27 Jul (Fri)	3,750
		Examination	HERM60000181006	4 Oct (Thu)	19:00 – 21:30	4 Sep (Tue)	1,000
Financial Planning and Wealth Management ³ (Credit: 20)	7	Training  ⁶	HQRM007PP180808	3, 10, 17, 21, 31 Aug (Tue & Fri)	19:00 – 22:00	25 Jul (Fri)	3,750
		Examination (Multiple Choice)	HERM7M000181009	5 Nov (Mon)	19:00 – 20:15	5 Oct (Fri)	1,000 ⁴
		Examination (Case and Oral)	HERM70000181110	10 Nov (Sat)	Starts at 10:00 ²	10 Oct (Wed)	

Programme Details:

Training Class Language: : Cantonese (supplemented with English materials)
 Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specify in training class confirmation / examination attendance notice)
 Enrolment : By fax at 2544 9946 or submit to the institute in person

- Note: 1. HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.
 2. Please reserve a whole day for the M7 Case and Oral Examination. Exact time slot will be provided on the Examination Attendance Notice. The proceedings of oral examination will be audio-recorded.
 3. Candidates are required to pass or have obtained exemption for M1 to M6 [or have enrolled for re-sit examination for failed module(s)] before attempting M7.
 4. For re-sit application of M7, examination fee will be charged per examination.
 5. Please complete "Exemption Form" to apply exemption for M1, M2 and M4.
 6.  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk.

For Enquiries:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C)
 Tel: (852) 2153 7800 Email: ecf.rwm@hkib.org
 Fax: (852) 2544 9946