



# Common Reporting Standard – How to deal with the Mainland China/ PRC implementation & PRC clientele?

11 December 2018



ECF CPD	HKIB CPD	SFC CPT
3 Hours	3 Hours	3 Hours

## Background

Why should even veterans be afraid of CRS & AEOI on HK implementation?

When maintaining appropriate compliance in the face of frequent rule changes, CRS is being focused. In dealing with proper due diligence vs. being too invasive: CRS is an instance for study.

With most recent enforcement cases, standard of the candidates, whether being of frontline, compliance, risk management, coaching, management, etc. shall be enhanced

## Programme Outline

- Background behind Implementation of CRS
  - Global Tax Transparency
  - Accuity 2016-11-16 survey on Anti-Money Laundering (AML) and Know Your Customer (KYC)
  - Suspicious Transaction Reports
  - China Capital Flow Crackdown Results In \$148 Billion Bust
- Upon China “CRS” Implementation & Impact upon Hong Kong
  - History, Implementation & Application

## Target Audience

Banking practitioners who wish to enhance their knowledge on CRS background. Other participants who are interested for the captioned subject.

## Speaker

The speaker is currently the Principal Consultant in a consultancy firm and a trainer of HKIB. Prior to being the Executive Director of a well-known Chinese bank, the speaker has worked in the field of private wealth management, capital market and treasury for years. The speaker has a postgraduate and a bachelor degree, together with four professional qualifications: Msc in Financial Management awarded by University of London, BA (Hons) awarded by University of Hong Kong, Financial Risk Manager (FRM), Certified Management Accountant (CMA), Certified Private Wealth Professional (CPWP) and Certified Anti-Money Laundering Specialist (CAMS).

## Programme Details

- Language : Cantonese (supplemented with English materials)
- Date : 11 December 2018 (Tue)
- Time : 7:00pm - 10:00pm
- Duration : 3 hours
- Venue : 3/F Guangdong Investment Tower,  
148 Connaught Road Central, Sheung Wan  
(Sheung Wan MTR Station Exit C)
- Fee : HKD900 (HKIB Individual Member)  
HKD1,080 (Staff of Corporate Member)  
HKD1,200 (Non-member)
- Enrollment : By fax at 2682 0218 or in person to our Institute
- Enquiries : (852) 2190 7060
- Email : [programme@hkib.org](mailto:programme@hkib.org)

## Registration Form

Common Reporting Standard – How to deal with the Mainland China/ PRC implementation & PRC clientele? (HD-P18-1206)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organization : _____
Department : _____	Position : _____
E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No : _____
Mailing Address : _____ <small>(Please provide a mailing address if you want to collect the programme certificate)</small>	

Payment Method :  A cheque / e-Cheque\* made payable to “The Hong Kong Institute of Bankers” (Cheque no. \_\_\_\_\_)  
\* For e-Cheque, please state the programme code under ‘remarks’ and email together with the completed enrolment form to [programme@hkib.org](mailto:programme@hkib.org)

Credit Card :  VISA  Master      Amount : HKD \_\_\_\_\_

Cardholder’s Name : \_\_\_\_\_      Signature : \_\_\_\_\_

Card No. : \_\_\_\_\_      Expiry Date : \_\_\_\_\_  
(mm/yy)

## How did you know about this programme?

- Website       HR Department       E-News       Others (Please specify)  
 Friends / Colleagues       Banking Today       HKIB Counter

### Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a ‘first-come-first-serve’ basis. HKIB Member will have priority to register if there is limited seat remained for the programme.
5. HKIB would issue the “Certificate of Attendance” to participants who have complied with the “[HKIB Attendance Policy](#)”. The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB’s website ([www.hkib.org](http://www.hkib.org)).



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