



## Enhanced Competency Framework (ECF) on Retail Wealth Management (Core & Professional Level) Training and Examination Schedule – 2021/2022

### Important Notes:

1. HKIB has been appointed as the Professional Qualification Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue a **QF-recognised Professional Qualification** (i.e. ARWP/CRWP) instead of a QF-recognised Certificate (i.e. Professional Certificate for Enhanced Competency Framework on Retail Wealth Management).
3. Learners who have attended the same training earlier are welcome to attempt the examinations in the below intakes.

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

Training: Q2 – Q4 2021



HKIB CPD<sup>2</sup>



SFC CPT



WAM Programme<sup>3</sup>



FLEX Learning<sup>4</sup>

Module Name	Module No.	Code	Date	Time	Language (Supplemented with English materials)	Application Deadline <sup>5</sup>	Fee <sup>6</sup> (HKD)
Essentials of Banking (Credit: 20)	3	HQRM003PP210401 FLEX Learning – Virtual Classroom	1-Apr-21 (Thu) 8-Apr-21 (Thu) 15-Apr-21 (Thu) 22-Apr-21 (Thu) 29-Apr-21 (Thu)	19:00 – 22:00	Cantonese	18-Mar-21 (Thu)	3,900
		HQRM003PP210701 FLEX Learning – Virtual Classroom	2-Jul-21 (Fri) 9-Jul-21 (Fri) 16-Jul-21 (Fri) 23-Jul-21 (Fri) 30-Jul-21 (Fri)	19:00 – 22:00		17-Jun-21 (Thu)	3,900
		HQRM003PP211001 FLEX Learning – Virtual Classroom	2-Oct-21 (Sat) 9-Oct-21 (Sat) 16-Oct-21 (Sat)	10:00 – 13:00, 14:00 – 17:00 on 2 Oct & 9 Oct 10:00 – 13:00 on 16 Oct		16-Sep-21 (Thu)	3,900
Investment and Asset Management: Product Solutions (Credit: 20)	5	HQRM005PP210501 FLEX Learning – Virtual Classroom	5-May-21 (Wed) 12-May-21 (Wed) 18-May-21 (Tue) 26-May-21 (Wed) 2-Jun-21 (Wed)	19:00 – 22:00		21-Apr-21 (Wed)	3,900
		HQRM005PP210801 FLEX Learning – Virtual Classroom	2-Aug-21 (Mon) 9-Aug-21 (Mon) 16-Aug-21 (Mon) 23-Aug-21 (Mon) 30-Aug-21 (Mon)	19:00 – 22:00		19-Jul-21 (Mon)	3,900
		HQRM005PP211101 FLEX Learning – Virtual Classroom	3-Nov-21 (Wed) 10-Nov-21 (Wed) 17-Nov-21 (Wed) 24-Nov-21 (Wed) 1-Dec-21 (Wed)	19:00 – 22:00		20-Oct-21 (Wed)	3,900



Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	HQRM006PP210301 FLEX Learning – Virtual Classroom	3-Mar-21 (Wed) 10-Mar-21 (Wed) 17-Mar-21 (Wed) 24-Mar-21 (Wed) 31-Mar-21 (Wed)	19:00 – 22:00	Cantonese	17-Feb-21 (Wed)	3,900
		HQRM006PP210601 FLEX Learning – Virtual Classroom	1-Jun-21 (Tue) 8-Jun-21 (Tue) 15-Jun-21 (Tue) 22-Jun-21 (Tue) 28-Jun-21 (Mon)	19:00 – 22:00		17-May-21 (Mon)	3,900
		HQRM006PP210901 FLEX Learning – Virtual Classroom	2-Sep-21 (Thu) 9-Sep-21 (Thu) 16-Sep-21 (Thu) 23-Sep-21 (Thu) 30-Sep-21 (Thu)	19:00 – 22:00		19-Aug-21 (Thu)	3,900
		HQRM006PP211201 FLEX Learning – Virtual Classroom	1-Dec-21 (Wed) 8-Dec-21 (Wed) 15-Dec-21 (Wed) 21-Dec-21 (Tue) 29-Dec-21 (Wed)	19:00 – 22:00		17-Nov-21 (Wed)	3,900
Financial Planning and Wealth Management (Credit: 20)	7	HQRM007PP210501 FLEX Learning – Virtual Classroom	15-May-21 (Sat) 22-May-21 (Sat) 29-May-21 (Sat)	10:00 – 13:00, 14:00 – 17:00 on 15 May & 22 May 10:00 – 13:00 on 29 May		3-May-21 (Mon)	3,900
		HQRM007PP211101 FLEX Learning – Virtual Classroom	2-Nov-21 (Tue) 9-Nov-21 (Tue) 17-Nov-21 (Wed) 23-Nov-21 (Tue) 30-Nov-21 (Tue)	19:00 – 22:00		18-Nov-21 (Thu)	3,900

**Examination: Q2 – Q4 2021, Q1 – Q2 2022**

Module Name	Module No.	Code	Date	Time	Application Deadline <sup>5</sup>	Fee <sup>6</sup> (HK\$)
Essentials of Banking (Credit: 20)	3	EXAM-2020-00287	13-Apr-21 (Tue)	19:00 – 21:30	12-Mar-21 (Fri)	1,060
		EXAM-2020-00288	17-Jun-21 (Thu)	19:00 – 21:30	14-May-21 (Fri)	1,060
		EXAM-2020-00290	16-Nov-21 (Tue)	19:00 – 21:30	15-Oct-21 (Fri)	1,060
		TBC	24-Feb-22 (Thu) <i>(Tentative Schedule)</i>	19:00 – 21:30 <i>(Tentative Schedule)</i>	22-Jan-21 (Fri) <i>(Tentative Schedule)</i>	TBC
Investment and Asset Management: Product Solutions (Credit: 20)	5	EXAM-2020-00311	25-Jun-21 (Fri)	19:00 – 21:30	21-May-21 (Fri)	1,060
		EXAM-2020-00313	14-Sep-21 (Tue)	19:00 – 21:30	13-Aug-21 (Fri)	1,060
		EXAM-2020-00316	7-Dec-21 (Tue)	19:00 – 21:30	5-Nov-21 (Fri)	1,060
		TBC	23-Mar-22 (Wed) <i>(Tentative Schedule)</i>	19:00 – 21:30 <i>(Tentative Schedule)</i>	18-Feb-22 (Fri) <i>(Tentative Schedule)</i>	TBC
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	EXAM-2020-00296	27-Jul-21 (Tue)	19:00 – 21:30	21-May-21 (Fri)	1,060
		EXAM-2020-00299	21-Oct-21 (Thu)	19:00 – 21:30	17-Sep-21 (Fri)	1,060
		TBC	18-Jan-22 (Tue) <i>(Tentative Schedule)</i>	19:00 – 21:30 <i>(Tentative Schedule)</i>	17-Dec-21 (Fri) <i>(Tentative Schedule)</i>	TBC
		TBC	12-Apr-22 (Tue) <i>(Tentative Schedule)</i>	19:00 – 21:30 <i>(Tentative Schedule)</i>	11-Mar-22 (Fri) <i>(Tentative Schedule)</i>	TBC
Financial Planning and Wealth Management (Credit: 20)	7	(Multiple Choice) EXAM-2020-00304	24-Sep-21 (Fri)	TBC	20-Aug-21 (Fri)	1,060 <sup>8</sup>
		(Case & Oral) <sup>7</sup> EXAM-2021-00014	26-Sep-21 (Sun)	TBC	20-Aug-21 (Fri)	
		(Multiple Choice) TBC	22-Apr-22 (Fri) <i>(Tentative Schedule)</i>	TBC	25 Mar 2022 (Fri) <i>(Tentative Schedule)</i>	TBC
		(Case & Oral) <sup>7</sup> TBC	24-Apr-22 (Sun) <i>(Tentative Schedule)</i>	TBC	25 Mar 2022 (Fri) <i>(Tentative Schedule)</i>	

**Special Note:**

- Learners are required to complete the training before attempting the examination
- For Modules 1, 2 & 4, please contact HKIB for further details



### Programme Details

- Programme/  
Module Outline : Please refer to the programme brochure and the handbook for details.
- Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment : By email at [application@hkib.org](mailto:application@hkib.org) or submit to our institute in person or by mail

### Notes:

Note	Category	Details
1 & 2	CPD	- IA CPD will be applicable to Modules 3, 5, 6 & 7 only - By attending the above training, Professional Qualification holders of CB (Stage I), CB (Stage II), CB, CFMP, ARWP, CRWP, ACsP, ACRP, CCRP(CL) and CCRP(CPM) are eligible to claim HKIB CPD hours.
3	WAM	The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: <a href="http://www.wamtalent.org.hk">www.wamtalent.org.hk</a> .
4	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
5	Late Application	A late entry fee of HKD200 will apply for late training and examination enrolment respectively.
6	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
7	Examination (Time)	Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
8	Examination (Fee)	The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HKD1,060 respectively.
9	Others	HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

### For General Enquiries / Feedback:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C)

Tel: (852) 2153 7800

Email: [ecf@hkib.org](mailto:ecf@hkib.org)