香 港 銀 行 學 會
The Hong Kong Institute of Bankers

The Pilot Scheme on Training Subsidy for Fintech Practitioners

Application Form

Important Notes:

1. Please **read the Guideline for Application** of the Pilot Scheme on Training Subsidy for Fintech Practitioners for definitions and requirements of the Scheme **BEFORE** completing this application form.
2. The Scheme accepts applications submitted by **Authorized Institutions (AIs)** only.
3. Please submit the completed application form together with all requisite supporting documents, within **three months** upon attainment of an Eligible Professional Qualification by an Eligible Practitioner, to The Hong Kong Institute of Bankers (HKIB) via email (fintechsubsidy@hkib.org).
4. Each Eligible Practitioner is eligible for **one** training subsidy at the **Core Level** and **one** training subsidy at the **Professional Level** under the ECF-Fintech.

Total Number of Eligible Practitioners under this Application Form	

Part A: Applicant Information		
Registered Bank Name		
Contact Person 1	Surname:	First Name:
Position		
Department		
Email Address		
Contact Number (+852)		
Contact Person 2	Surname:	First Name:
Position		
Department		
Email Address		

Contact Number (+852)	
Bank account for receiving the training subsidy	Bank Account Name: Bank Code: Branch Code: Bank Account Number: **Note**: The AI's Chief Financial Officer or his/her deputy should acknowledge receipt of the training subsidy in writing to the HKIB within 14 working days.

![The Hong Kong Institute of Bankers logo] 香 港 銀 行 學 會
The Hong Kong Institute of Bankers

Part B and Part C
Note: If there is more than one Eligible Practitioner, please download a separate form for completing Part B and Part C for <u>EACH</u> of the Eligible Practitioners and submit it as an appendix or appendices to this application form.

Part B: Eligible Practitioner's Information	
Name (Same as Hong Kong Identity Card)	Surname: First Name:
Hong Kong Identity Card Number	
Position	
Department	
Email Address	
Contact Number (+852)	

Part C: Professional Qualification and Training Costs			
ECF-Fintech Professional Qualification Obtained	Date Obtained	Module	Total Tuition Fee and First-time Examination Fee Paid by the Applicant[1] (HKD or other currencies should be specified clearly)[2]
☐ CPFinT(A)		Module 1 – Technology Essentials	
		Module 2 – Banking and Risk Essentials	
		Module 3 – Fintech Practicum	
		Module 4 – Fundamental Fintech Tools and Applications	
		Other eligible course, please specify _____	
		Other eligible course, please specify _____	
		Other eligible course, please specify _____	
		Other eligible course, please specify _____	
		Other eligible course, please specify _____	
☐ CPFinT(M)		Module 5 – Regulatory Trends and Data Ethics	
		Module 9 – Business Strategy and Marketing	
		Module 10 – Fintech Product Management	
☐ CPFinT(S-AIBD)		Module 5 – Regulatory Trends and Data Ethics	
		Module 6 – Artificial Intelligence and Big Data	
☐ CPFinT(S-DLT)		Module 5 – Regulatory Trends and Data Ethics	
		Module 7 – Distributed Ledger Technology (DLT)	
☐ CPFinT(S-OBAPI)		Module 5 – Regulatory Trends and Data Ethics	
		Module 8 – Open Banking and Application Programming Interface (API)	
☐ CPFinT(S-RT)		Module 5 – Regulatory Trends and Data Ethics	

			Module 11 – Regtech	
		Total amount of fees paid in HK dollars		
		Total amount of fees paid in other currencies (Please specify the currencies one by one)		

Note 1: The training costs can be paid by the Applicant to the training providers directly or through reimbursement to the relevant Eligible Practitioner.

If part of the training costs were paid through reimbursement to the Eligible Practitioner who claimed that he/she had self-paid for the relevant training costs before being employed by the Applicant, the Applicant should have proper internal controls in place, including requesting for a declaration by the employee and checking with his/her former employers (where applicable), to ascertain the actual amount of previous self-pay training costs (net of any reimbursements from the former employers where applicable). Please refer to Q.6 and Q.7 of the FAQ for details.

Note 2: If part of the training fees were paid in a foreign currency, in general, the HKIB will convert the relevant amount into equivalent HK dollars based on the relevant exchange rate as at the date of receipt of the application form provided on the website of the Hong Kong Association of Banks. The HKIB reserves the right of final decision on the relevant exchange rate to be used for the purposes of calculating the training subsidy amount.

Part D: Declaration (please tick the boxes)

☐   (1)   The Eligible Practitioner(s) indicated in this application form is (are) the employee(s) of our bank at the time of this application.

☐   (2)   The Applicant (i.e. the Authorized Institution) has fully sponsored the training of the Eligible Practitioner(s) (including tuition fee and first-time examination fee) for attaining the Eligible Professional Qualification(s) under the ECF-Fintech.

☐   (3)   No other form of subsidy or financial assistance is received by the Applicant and the Eligible Practitioner(s) from publicly-funded schemes for the portion of the training costs to be reimbursed under the Scheme.

☐   (4)   All information provided in this application, in particular, the identity of the Eligible Practitioner(s), the Professional Qualification(s) attained, as well as the tuition fee and first-time examination fee paid, is true and correct, and has been properly verified under the Applicant's internal control procedures.

☐   (5)   We have read and fully understood the contents of the "Guideline for Application of the Pilot Scheme on Training Subsidy for Fintech Practitioners" and this application form. We accept and agree to abide by the requirements of the Scheme as well as the rules and terms laid down by the Hong Kong Institute of Bankers.

☐   (6)   We have read and fully understood the [Privacy Policy Statement](#) on the website of The Hong Kong Institute of Bankers at http://www.hkib.org, and consent to the terms set out therein. We also agreed that The Hong Kong Institute of Bankers can use the information provided and personal data collected for administration and communication purposes.

Part E: Authorised Signature

Chief Executive or his/her deputy	Chief of Human Resources or the function that is holding this role
Signature: (with company stamp)	Signature: (with company stamp)
Name:	Name:
Official title of the position:	Official title of the position:
Date: (dd/mm/yyyy)	Date: (dd/mm/yyyy)

Requisite Supporting Documents

This application should be submitted together with the following supporting documents:
1. A copy of the Hong Kong Identity Card of the Eligible Practitioner to prove his/her identity as a Hong Kong resident
2. Proof of the Eligible Professional Qualification attained with date
3. Proof of the Eligible Training Courses taken
4. Proof of tuition fee and first-time examination fee incurred (e.g. a copy of the official receipt)
5. Proof of training and examination completion with dates (applicable to training courses provided by training providers other than the HKIB)

Checklist (Please tick the following items before submitting the application and keep a copy of all relevant documents for your own record.)
☐   Understood and accepted the Guideline for Application of the Pilot Scheme on Training Subsidy for Fintech Practitioners
☐   Completed all sections of this application form with true and correct information
☐   Provided one appendix or a total of _____ appendices to this application form
☐   Enclosed a copy of the Hong Kong Identity Card of the Eligible Practitioner
☐   Enclosed proof of the Eligible Professional Qualification attained with date
☐   Enclosed proof of the Eligible Training Courses taken
☐   Enclosed proof of the tuition fee and first examination fee incurred (e.g. a copy of the official receipt)
☐   Enclosed proof of training and examination completion with dates (applicable for training courses provided by training providers other than the HKIB)
☐   Declaration properly signed with the company stamp affixed and dated by the AI's Chief Executive or his/her deputy together with the Chief of Human Resources function or the function that is holding this role