



# The Need-to-know for a MLRO

## (MLRO – Money Laundering Reporting Officer)

24 Nov 2022 (Thu) | 7:00pm – 10:00pm



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## Objective

The duties of a MLRO may involve serious legal consequences that result in a civil and criminal action. To mitigate the risks, MLROs must participate in designing AML policies and procedures, ensuring proper on-boarding and ongoing due diligence is performed, investigating and filing suspicious reports, and record-keeping.

This seminar focuses on how the MLRO can select and manage AML solutions and establish effective communication channels with law enforcement agencies, which are essential for ensuring money laundering and other financial crimes are detected and reported in compliance with the law.

## Programme Outline

The major responsibilities of MLRO and how it differs with MLCO (Money Laundering Compliance Officer)

How to select essential solutions to monitor and detect suspicious transactions

Management of suspicious transaction reports and No Consent Order

## SPEAKER Key Description

The speaker has over 20 years of AML/CFT experience that was attained from the police, Financial intelligence Unit and banking industry.

He has broad experience on KYC and transaction monitoring issues attained from a banking and law enforcement perspective.

He has up-to-date knowledge on various IT systems to monitor customer profile and bank transactions for all lines of business.

He is now running a start-up with focus on AML/CFT system development.

## Target Audience

**01 Banking Practitioners**  
Who wish to better understand the AML supervisory approach and enforcement policy, and to proactively manager AML compliance risks.

**02 Other Audience**  
Who are interested in the captioned topic are also welcome to join .

### Apply NOW

Scan the QR code at the upper right hand corner or [click here](#) to apply via e-form

Programme Delivery EarlyBird DEADLINE

Virtual Classroom (Zoom) 10 Nov 2022 (Thu)  
Cantonese

Enquiries Application DEADLINE

(852) 2153 7800 17 Nov 2022 (Thu)  
[programme@hkib.org](mailto:programme@hkib.org)

### PRICE LIST

Early Bird  
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