



## Enhanced Competency Framework (ECF) on Operational Risk Management (Core & Professional Level) Training and Examination Schedule –2023

### Important Notes:

1. HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. AORP\*/CORP#).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

\* Recognised under the QF at Level 4, QR Registration No: 21/001159/L4, Validity Period from 01/11/2021 to 31/07/2025

# Recognised under the QF at Level 5, QR Registration No: 21/001160/L5, Validity Period from 01/11/2021 to 31/07/2025

### Training: Q1 – Q4 2023



- HKIB CPD<sup>1</sup>



- SFC CPT



- FLEX Learning<sup>2</sup>

Module Name	Module No.	Code	Date	Time	Language (supplemented with English materials)	Application Deadline <sup>3</sup>	Fee <sup>4,5</sup> (HKD)
<b>Ethics and Corporate Governance in Banking Industry (Credit: 10)</b>	1	HEOR01P23071 <b>FLEX Learning – Virtual Classroom</b>	05 Jul 2023 (Wed) 12 Jul 2023 (Wed) 19 Jul 2023 (Wed) 26 Jul 2023 (Wed) 02 Aug 2023 (Wed)	19:00 – 22:00	English	20 Jun 2023 (Tue)	3,900
<b>Regulatory Framework and Compliance in Banking Industry (Credit: 10)</b>	2	HEOR02P23081 <b>FLEX Learning – Virtual Classroom</b>	16 Aug 2023 (Wed) 23 Aug 2023 (Wed) 30 Aug 2023 (Wed) 06 Sep 2023 (Wed) 13 Sep 2023 (Wed)	19:00 – 22:00	English	02 Aug 2023 (Wed)	3,900
<b>Fundamentals of Operational Risk Management and Risk Governance (Credit: 20)</b>	3	HEOR03P23091 <b>FLEX Learning – Virtual Classroom</b>	20 Sep 2023 (Wed) 27 Sep 2023 (Wed) 04 Oct 2023 (Wed) 11 Oct 2023 (Wed) 18 Oct 2023 (Wed)	19:00 – 22:00	English	06 Sep 2023 (Wed)	3,900
<b>Advanced Operational Risk Management (Credit: 30)</b>	4	HEOR04P23051 <b>FLEX Learning – Virtual Classroom</b>	05 May 2023 (Fri) 12 May 2023 (Fri) 19 May 2023 (Fri) 02 Jun 2023 (Fri) 09 Jun 2023 (Fri) 16 Jun 2023 (Fri) 23 Jun 2023 (Fri)	19:00 – 22:00	English	20 Apr 2023 (Thu)	6,270



Examination: Q1 – Q4 2023, Q1 2024

Module Name	Module No.	Code	Date	Time	Application Deadline	Fee <sup>4</sup> (HKD)
<b>Ethics and Corporate Governance in Banking Industry (Credit: 10)</b>	1	EXAM-2022-10116	14 Mar 2023 (Tue)	19:00 – 20:30	14 Feb 2023 (Tue)	550
		EXAM-2022-10117	11 Jul 2023 (Tue)	19:00 – 20:30	09 Jun 2023 (Fri)	550
		EXAM-2022-10118	21 Nov 2023 (Tue)	19:00 – 20:30	24 Oct 2023 (Tue)	550
<b>Regulatory Framework and Compliance in Banking Industry (Credit: 10)</b>	2	EXAM-2022-10070	22 Feb 2023 (Wed)	19:00 – 20:30	20 Jan 2023 (Fri)	550
		EXAM-2022-10119	30 May 2023 (Tue)	19:00 – 20:30	28 Apr 2023 (Fri)	550
		EXAM-2022-10120	05 Sep 2023 (Tue)	19:00 – 20:30	04 Aug 2023 (Fri)	550
		EXAM-2022-10121	04 Jan 2024 (Thu)	19:00 – 20:30	05 Dec 2023 (Mon)	TBC
<b>Fundamentals of Operational Risk Management and Risk Governance (Credit: 20)</b>	3	EXAM-2021-00246	20 Dec 2022 (Tue)	19:00 – 21:30	18 Nov 2022 (Fri)	1,060
		EXAM-2022-10122	11 May 2023 (Thu)	19:00 – 21:30	11 Apr 2023 (Tue)	1,100
		EXAM-2022-10123	24 Aug 2023 (Thu)	19:00 – 21:30	24 Jul 2023 (Mon)	1,100
		EXAM-2022-10124	04 Jan 2024 (Thu)	19:00 – 21:30	05 Dec 2023 (Tue)	TBC
<b>Advanced Operational Risk Management (Credit: 30)</b>	4	EXAM-2022-10125	30 Mar 2023 (Thu)	19:00 – 22:00	28 Feb 2023 (Tue)	1,350



		EXAM-2022-10126	21 Sep 2023 (Thu)	19:00 – 22:00	21 Aug 2023 (Mon)	1,350
		EXAM-2022-10127	14 Dec 2023 (Thu)	19:00 – 22:00	14 Nov 2023 (Tue)	1,350

**Special Note:** Learners are required to complete the training before attempting the examination.

**Programme Details:**

- Programme/  
Module Outline : Please refer to programme brochure and handbook for details.
- Venue: : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment: : By email at [application@hkib.org](mailto:application@hkib.org) or submit to our institute in person or by mail

**Notes:**

Note	Category	Details
1	CPD	By attending the above training, Professional Qualification holders of AAML, CAMLP, ACRP, CCRP(CL), CCRP(CPM), ACsP, AORP, CORP, ARWP, CRWP, CB (Stage I), CB (Stage II), CB and CFMP are eligible to claim HKIB CPD hours.
2	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
3	Late Application	A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
4	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
5	Training Material	# For Module 1 & Module 2, training material (i.e. Study Guide and PPT Slides) will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners. # For Module 3 & Module 4, only a digital version of PPT Slides will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners. In addition, learners have to purchase two reference books by their own as a part of the essential readings.
6	Others	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination.

**For General Enquiries / Feedback:**

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