

Compliance with HKMA's requirements on AML and Fraud Prevention with the application and adoption of Regtech

Programme Code: HGCP00P23031

21 March 2023 (Tuesday)
7:00pm – 10:00pm

3
HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

- Understanding the prevalent financial crime trends and modus operandi of money laundering and eBanking fraud from a banking perspective.
- Reviewing HKMA and the banking industry's requirements and guidelines on AML and fraud risk management principles and practices from the HKMA Supervisory Policy Manual, Complaint Watch and Circulars, HKAB's Code of Banking Practice etc.
- Identifying fraudster traits and conducting AML and fraud prevention evaluation.
- Applying and adopting Regtech in regulatory compliance on AML and fraud prevention with reference to good practices and real cases.

Speaker Introduction

The speaker has over 35 years of professional experience in Fraud Investigation and Compliance, both from law enforcement and banking perspectives, specifically with experience in leading various teams and being responsible for real time monitoring of internet banking, eBanking and credit card transactions, fraud prevention and mitigation of other retail banking fraud including credit and over-the-counter fraud. He also teaches at universities on management, criminology and criminal psychology.

Target Audience

1. Banking Executives
2. Compliance (Regulatory and Financial Crimes) and Fraud Risk Management Staff
3. Financial Market Practitioners
4. HKIB Professional Qualification holders :

CAMPLP **A**AMPLP **C**RWP **A**RWP
ACsP **A**CRP **C**ORP **A**ORP
CCRP (CL) **C**CRP (CPM)



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

7 March 2023 (Tuesday)

Application DEADLINE

14 March 2023 (Tuesday)



APPLY NOW!

Enquiry :
(852) 2153 7800
programme@hkib.org
<https://hkib.org/page/185>

PRICE LIST

Early Bird

Regular Price

Individual
Member
HKD
900

HKD990

Staff of
Corporate
Member
HKD
1,080

HKD1,190

Non-
Member
HKD
1,200

HKD1,320