

OFAC Sanction Risk and Compliance Trend with Recent Hong Kong Case Studies

Programme Code: HGCP00P23045

3 April 2023 (Monday)
7:00pm – 10:00pm

3 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

- Overview of Global Sanction Regime, Recent US Sanctions, 2022 Review of Sanctions Entailing China, Recent US Sanctions, for Financial & Non-financial Institutions, Comprising China & HK
- What Happens in Feb 2023 : Implementation Of Uyghur Forced Labor Prevention Act (UFLPA) , And There Is House Select Committee On China
- Compliance Implementation: How US OFAC's differs from other countries, in Financial Institutions? Is There Any Correlation With International Financial System
- OFAC Compliance Program Requirements & Enforcement Trend, Sanction Screening issue
- Refreshed: When Lines of Defense apply to Sanction Implementation?
- Sanction On HK versus The US Imposed Regulations Refreshed
- Lessons to Learn, With Most Updated Cases

Speaker Introduction

The speaker was the Executive Director of a well-known Chinese bank, he has worked in the field of private wealth management, capital market and treasury for years. The speaker holds five professional qualifications including FRM, CMA, CPWP, CAMS and CGSS. In addition to a bachelor's degree and a Master's degree, he also has lecturing experience in compliance and AML related topics in Singapore, Taiwan and Mainland China.

Target Audience

1. Banking Partitioners
2. Frontline Staff
3. HKIB Professional Qualification holders :

CAMLP AAMLP CRWP ARWP
ACsP ACRP CORP AORP
CCRP (CL) CCRP (CPM)



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

20 March 2023 (Monday)

Application DEADLINE

27 March 2023 (Monday)



APPLY NOW!

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<https://hkib.org/page/185>

PRICE LIST

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Regular Price

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HKD

900

HKD990

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Corporate
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1,080

HKD1,190

Non-
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1,200

HKD1,320