

Private Banking 2020/2023 case studies with Anti-Money Laundering Analyses

Programme Code: HGCP00P23042

20 April 2023 (Thursday)
7:00 pm – 10:00 pm

3 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

- How To Count World's Billionaire Population**
 - Wealthy Family Statistics;
 - Inflation Impact Upon Clients' Investment in 2022~2023 & Ahead
 - Estate & Wealth Planning With Estate, From Personal Investing Point of View e.g. FinCEN,
 - Appointment of Estate Trustee in foreign context, An Estate Planning Law example
- Family Wealth Management, from US/ UK Regulatory Perspective**
 - Family/ Company from UK Common Law Perspective;
 - US Estate & Wealth Planning incl from Anti-money Laundering Point of View e.g Tax consideration,
 - Non-resident Indians Wealth Management etc, Evolution of Family WM, with New Generation, beyond 2023
- AML Regulations vs. STR**
 - Money Laundering and Terrorist Financing
 - Oversight of the AMLO, relevant rules and guidelines · with 2020 or latest case studies
 - Indicators of suspicious activities commonly encountered, Suspicious Transaction Reporting and Joint Financial Intelligence Unit
- KYC, CDD, POA**
 - Risk Based Approach RBA
 - Know Your Client KYC and Customer Due Diligence CDD, Politically Exposed Persons PEP
 - Key elements of an effective AML Program

Speaker Introduction

The speaker was the Executive Director of a well-known Chinese bank, he has worked in the field of private wealth management, capital market and treasury for years. The speaker holds five professional qualifications including FRM, CMA, CPWP, CAMS and CGSS. In addition to a bachelor's degree and a Master's degree, he also has lecturing experience in compliance and AML related topics in Singapore, Taiwan and Mainland China.



APPLY NOW!

Enquiry :
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Target Audience

- Banking Partitioners
- HKIB Professional Qualification holders :

CRWP ARWP CORP AORP
ACsP ACRP CCRP(CPM)
CCRP(CL)



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

6 April 2023 (Thursday)

Application DEADLINE

13 April 2023 (Thursday)

PRICE LIST

Early Bird
Regular Price

Individual
Member
HKD
900

HKD990

Staff of
Corporate
Member
HKD
1,080

HKD1,190

Non-
Member
HKD
1,200

HKD1,320