

The Best Use and Mis-Use of ChatGPT in Financial Compliance

Programme Code: HGFT00P23052

30 May 2023 (Tuesday)
2:00pm – 5:00pm

3 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

OpenAI's ChatGPT has redefined the meaning of artificial intelligence since later 2022. Based on human language instructions, ChatGPT can analyze regulatory guidelines, design compliance programmes and write computer codes. In this course, the speaker will demonstrate the techniques of directing ChatGPT to deliver the daily duties of a financial compliance officer. The limitations, best use and mis-use of ChatGPT will be examined. Finally, the speaker will discuss the regulatory concerns and supervisory approaches to artificial intelligence.

- Large language model
- Accessing ChatGPT and ChatGPT Plus
- Prompt engineering: role, tone, frame and instruction
- Applications in financial compliance
- Limitations, best use and mis-use
- Regulatory concerns and supervisory approaches to artificial intelligence

Speaker Introduction

The speaker works for a financial technology firm providing advisory services and technology solutions to the crypto assets industry. In addition, he is the Adjunct Professor of City University of Hong Kong, teaching the master course in financial technology and risk management. The speaker holds the Doctor of Business Administration degree, CFA, CAIA, CAMS, FRM, PRM, CAMS, CFE, MCSE and MCNE qualifications, and frequently invited by professional organizations to deliver speeches on data science and crypto assets.

Target Audiences

1. Banking Practitioners
2. IT Practitioners
3. PQ holders :

CAMLP AAMLP CRWP ARWP

ACsP CCRP(CL) CCRP(CPM)

ACRP CPFinT(M) CPFinT(S-OBAP) CPFinT(S-AIBD) CPFinT(S-DLT)

CPFinT(S-RT) CPFinT(A)

Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

16 May 2023 (Tuesday)

Application DEADLINE

23 May 2023 (Tuesday)



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PRICE LIST

Early Bird

Regular Price

Individual
Member
HKD

900

HKD990

Staff of
Corporate
Member
HKD

1,080

HKD1,190

Non-
Member
HKD

1,200

HKD1,320