

# Designing an Effective AML/CFT Compliance Function Incorporating Regtech Tools

Programme Code: HGFT00P23091

28 September 2023 (Thursday)  
7:00pm – 10:00pm


**3  
HOURS**

HKIB ECF SFC  
CPD CPD CPT

## Programme Outline

- Overview of AML/CFT and related regulatory guidelines
- Introduce global trends in AML/CFT compliance
- Illustration of AML/CFT compliance operations and common challenges
- Introduction to the history and development of RegTech
- Underlying technologies used in RegTech
- Use cases in adopting RegTech in AML/CFT compliance operations to mitigate common challenges and to improve the effectiveness and efficiency of the processes

## Speaker Introduction

The speaker has over thirty years of experience working in large international banks managing functions such as systems solutions, business analytic, operational planning, operations risk management and AML/CFT compliance. Before he joined a RegTech startup as Advisor, he was the Head of AML Compliance of a large Chinese Bank in Hong Kong. He processes Master of Business Administration Degree and is one of the Directors and founding members of the RegTech Association of Hong Kong. He has conducted trainings in different banks and organisations covering various subjects related to banking industries.



**APPLY NOW!**

(852) 2153 7800  
[programme@hkib.org](mailto:programme@hkib.org)  
<https://hkib.org/page/185>

## Target Audiences

1. Banking Practitioners
2. Legal, Risk Management, Compliance and IT Professionals
3. PQ holders :

**C**AMLP **A**AMLP **C**RWP **A**RP

**A**CsP **C**CRP(CI) **C**CRP(CPM)

**A**CRP **C**PFiN(M) **C**PFiN(S-OBAP) **C**PFiN(S-AIBD) **C**PFiN(S-DLT)

**C**PFiN(S-RT) **C**PFiN(A) **C**ORP **A**ORP

## Programme Delivery

Virtual Classroom (Zoom)  
Cantonese

## Early Bird DEADLINE

14 September 2023 (Thursday)

## Application DEADLINE

21 September 2023 (Thursday)

**PRICE  
LIST**

Early Bird

Regular Price

Individual  
Member

HKD

900

HKD990

Staff of  
Corporate  
Member

HKD

1,080

HKD1,190

Non-  
Member

HKD

1,200

HKD1,320