

# OFAC Sanction Risk and Compliance Trend with Recent Hong Kong Case Studies

Programme Code: HGCP00P23101

**10 October 2023 (Tuesday)**  
**7:00pm – 10:00pm**


**3  
HOURS**

HKIB ECF SFC  
CPD CPD CPT

## Programme Outline

- Global Sanction Regime Oversight , Review of Certain 2022 Sanctions e.g. April 2023 US Sanctions, for Financial & Non-financial Institutions, incl China & HK
- 2023 Happenings : Including up to August 2023 New Order and Rulings; up to July 2023 in Uyghur Forced Labor Prevention Act (UFLPA) , And House Select Committee On China.....
- Sanction Compliance Implementation Issues : How US's differs from other jurisdictions, e.g. in Financial Institutions? Is There Any Correlation Among International Financial World ?
- OFAC Compliance Program Requirements & Enforcement Trend, Sanction Screening Issue
- Refreshed: When Lines of Defense Apply to Sanction Implementation?
- Sanction On HK versus The US Imposed Regulations Refreshed
- Lessons to Learn, With Most Updated Cases

## Speaker Introduction

The speaker was the Executive Director of a well-known Chinese bank, he has worked in the field of private wealth management, capital market and treasury for years. The speaker holds five professional qualifications including FRM, CMA, CPWP, CAMS and CGSS. In addition to a bachelor's degree and a Master's degree, he also has lecturing experience in compliance and AML related topics in Singapore, Taiwan and Mainland China.

## Target Audience

1. Banking Partitioners
2. Frontline Staff
3. HKIB Professional Qualification holders :

**CAMLP** **AAMLP** **CRWP** **ARWP**

**ACsP** **ACRP** **CORP** **AORP**

**CCRP(CL)** **CCRP(CPM)**



## Programme Delivery

Virtual Classroom (Zoom)  
Cantonese

## Early Bird DEADLINE

26 September 2023 (Tuesday)

## Application DEADLINE

3 October 2023 (Tuesday)



**APPLY NOW!**

Enquiry :  
(852) 2153 7800  
[programme@hkib.org](mailto:programme@hkib.org)  
<https://hkib.org/page/185>

**PRICE  
LIST**

Early Bird

Regular Price

Individual  
Member  
HKD

900

HKD990

Staff of  
Corporate  
Member  
HKD

1,080

HKD1,190

Non-  
Member  
HKD

1,200

HKD1,320