

# Overview of Banking Services Operation Risks with Case Study

Programme Code: HGRS00P23102

**19 October 2023 (Thursday)**  
**7:00pm – 10:00pm**


**3  
HOURS**

HKIB ECF SFC  
CPD CPD CPT

## Programme Outline

1. What is risk?
2. What is operational risk?
3. Key Drivers in Operational Risk Management
4. How can operational risk events be reported?
5. How can operational risk be managed with three lines of defense?
6. How to make use of stress testing and develop a good culture handling risk?
7. Key risk management tools -- how to implement in daily control
  - a. Key risk assessment (KRA)
  - b. Key risk indicator (KRI)
8. The 7 – Step Approach to Mitigate Operational Risk Management
9. How do you
  - Align your operational risk management strategy with your business objectives and risk appetite.
  - Involve and consult your stakeholders on operational risk culture issues and initiatives.
  - Network with other operational risk professionals and learn from their experiences.
  - Choose the best operational risk tools for your organization.
  - Integrate operational risk tools with other risk management frameworks and systems?

## Speaker Introduction

The speaker is a seasoned banker with over 30 years of operation and risk management experience in various European, Asian, Australian and PRC banks. She is involved in establishing credit securities management and risk control mechanisms & policies and is also responsible for systems' end-to-end credit operations process. The focus is but not limited to back-front support of the corporate / institutional interbank/ treasury / global capital market / syndicated loan business etc. Her strength is in development and execution of process & control revamp in compliance with the fast moving regulatory & compliance environment in HK, PRC and the Asian Region.



## APPLY NOW!

Enquiry :  
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<https://hkib.org/page/185>

## Target Audiences

1. Banking Practitioners
2. Frontline Staff and Risk management
3. HKIB Professional Qualification holders :

**C**AMLP **A**AMLP **C**RWP **A**RPW  
**A**CsP **A**CRP **C**ORP **A**ORP  
**C**CRP(CL) **C**CRP(CPM)



## Programme Delivery

Virtual Classroom (Zoom)  
Cantonese

## Early Bird DEADLINE

5 October 2023 (Thursday)

## Application DEADLINE

12 October 2023 (Thursday)

### PRICE LIST

Early Bird  
Regular Price

Individual  
Member

HKD  
900

HKD990

Staff of  
Corporate  
Member

HKD  
1,080

HKD1,190

Non-  
Member

HKD  
1,200

HKD1,320