

ChatGPT for Financial Compliance

Programme Code: HGFT00P23101

31 October 2023 (Tuesday)
2:00pm – 5:00pm


**3
HOURS**

HKIB ECF SFC
CPD CPD CPT

Programme Outline

ChatGPT is an artificial intelligence chatbot developed by OpenAI where Microsoft is a major investor. Since its launch in November 2022, ChatGPT has introduced an evolutionary approach for producing high quality documents in service industries, enabling an eight-hour human work to be completed in just a few minutes.

In the first part of this course, the speaker will introduce the ecosystem of ChatGPT and explain how to access ChatGPT in Hong Kong, where ChatGPT has not been officially rolled out. The techniques for instructing ChatGPT to deliver the best expected outcomes are fully explained.

In the second part of the course, the speaker will demonstrate how to use ChatGPT to carry out the daily duties of a financial compliance officer, including among others, conducting regulatory researches, analyzing regulatory requirements, and drafting compliance policies. The limitations, best use, and misuse of ChatGPT will be examined. Finally, the speaker will share some experiences that will further enhance the productivity of ChatGPT in financial compliance.

Speaker Introduction

The speaker works for a financial technology firm providing advisory services and technology solutions to the crypto assets industry. Additionally, he is an Adjunct Professor at City University of Hong Kong, teaching master's courses in financial technology and risk management. The speaker formerly worked for a bank regulator, international banks, and a sovereign wealth fund.



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Target Audiences

1. Banking Practitioners
2. Compliance and IT Professionals
3. PQ holders :

CAMLP AAMLP CRWP ARWP

ACsP CCRP(CL) CCRP(CPM)

ACRP CPFInT(M) CPFInT(S-OBAP) CPFInT(S-AIBD) CPFInT(S-DLT)

CPFInT(S-RT) CPFInT(A)



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

17 October 2023 (Tuesday)

Application DEADLINE

24 October 2023 (Tuesday)

**PRICE
LIST**

Early Bird

Regular Price

Individual
Member
HKD
900

HKD990

Staff of
Corporate
Member
HKD
1,080

HKD1,190

Non-
Member
HKD
1,200

HKD1,320