

Enhanced Competency Framework (ECF) on Operational Risk Management (Core & Professional Level) Training and Examination Schedule –2023

Important Notes:

1. HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. AORP*/CORP#).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

* Recognised under the QF at Level 4, QR Registration No: 21/001159/L4, Validity Period from 01/11/2021 to 31/07/2025

Recognised under the QF at Level 5, QR Registration No: 21/001160/L5, Validity Period from 01/11/2021 to 31/07/2025

Training: Q1 – Q4 2023



- HKIB CPD¹



- SFC CPT



- FLEX Learning²

Module Name	Module No.	Code	Date	Time	Language (supplemented with English materials)	Application Deadline ⁴	Fee ^{5,6} (HKD)
Ethics and Corporate Governance in Banking Industry ³ (Credit: 10)	1	HEOR01P23071 FLEX Learning – Virtual Classroom	05 Jul 2023 (Wed) 12 Jul 2023 (Wed) 19 Jul 2023 (Wed) 26 Jul 2023 (Wed) 02 Aug 2023 (Wed)	19:00 – 22:00	English	CLOSED	
Regulatory Framework and Compliance in Banking Industry ³ (Credit: 10)	2	HEOR02P23081 FLEX Learning – Virtual Classroom	16 Aug 2023 (Wed) 23 Aug 2023 (Wed) 30 Aug 2023 (Wed) 06 Sep 2023 (Wed) 13 Sep 2023 (Wed)	19:00 – 22:00	English	02 Aug 2023 (Wed)	3,900
Fundamentals of Operational Risk Management and Risk Governance (Credit: 20)	3	HEOR03P23091 FLEX Learning – Virtual Classroom	20 Sep 2023 (Wed) 27 Sep 2023 (Wed) 04 Oct 2023 (Wed) 11 Oct 2023 (Wed) 18 Oct 2023 (Wed)	19:00 – 22:00	English	06 Sep 2023 (Wed)	3,900
Advanced Operational Risk Management (Credit: 30)	4	HEOR04P23051 FLEX Learning – Virtual Classroom	05 May 2023 (Fri) 12 May 2023 (Fri) 19 May 2023 (Fri) 02 Jun 2023 (Fri) 09 Jun 2023 (Fri) 16 Jun 2023 (Fri) 23 Jun 2023 (Fri)	19:00 – 22:00	English	CLOSED	

Examination: Q1 – Q4 2023, Q1 2024

In general, the candidates need around 2 months self-studying time to prepare for the examination. However, you may select the most appropriate examination session according to your competence level and learning plan.

Module Name	Module No.	Code	Date	Time	Application Deadline ⁴	Fee ⁶ (HKD)
Ethics and Corporate Governance in Banking Industry (Credit: 10)	1	EXAM-2022-10116	14 Mar 2023 (Tue)	19:00 – 20:30	CLOSED	
		EXAM-2022-10117	11 Jul 2023 (Tue)	19:00 – 20:30	CLOSED	
		EXAM-2022-10230	07 Sep 2023 (Thu)	19:00 – 20:30	CLOSED	
		EXAM-2022-10118	21 Nov 2023 (Tue)	19:00 – 20:30	24 Oct 2023 (Tue)	550
Regulatory Framework and Compliance in Banking Industry (Credit: 10)	2	EXAM-2022-10070	22 Feb 2023 (Wed)	19:00 – 20:30	CLOSED	
		EXAM-2022-10119	30 May 2023 (Tue)	19:00 – 20:30	CLOSED	
		EXAM-2022-10120	19 Oct 2023 (Thu)	19:00 – 20:30	19 Sep 2023 (Tue)	550
		EXAM-2022-10121	04 Jan 2024 (Thu)	19:00 – 20:30	04 Dec 2023 (Mon)	580

Fundamentals of Operational Risk Management and Risk Governance (Credit: 20)	3	EXAM-2022-10122	11 May 2023 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10123	24 Nov 2023 (Fri)	19:00 – 21:30	24 Oct 2023 (Tue)	1,100
		EXAM-2022-10124	11 Jan 2024 (Thu)	19:00 – 21:30	11 Dec 2023 (Mon)	1,150
Advanced Operational Risk Management (Credit: 30)	4	EXAM-2022-10125	30 Mar 2023 (Thu)	19:00 – 22:00	CLOSED	
		EXAM-2022-10126	21 Sep 2023 (Thu)	19:00 – 22:00	21 Aug 2023 (Mon)	1,350
		EXAM-2022-10127	14 Dec 2023 (Thu)	19:00 – 22:00	14 Nov 2023 (Tue)	1,350

Special Note: Learners are required to complete the training before attempting the examination.

Programme Details:

Programme/
Module Outline : Please refer to programme brochure and handbook for details.

Venue: : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)

Notes:

Note	Category	Details
1	CPD	By attending the above training, Professional Qualification holders of AAML, CAMLP, ACRP, CCRP(CL), CCRP(CPM), ACSP, AORP, CORP, ARWP, CRWP, CB (Stage I), CB (Stage II), CB and CFMP are eligible to claim HKIB CPD hours.
2	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
3	Module Content	Module 1 and Module 2 are identical for both ECF-ORM and ECF-Compliance. Hence, an RP who has completed Module 1 and/or Module 2 under either of these ECF streams will not be required to complete the same module(s) under the other ECF stream. For example, if you have already completed ECF-ORM Module 1, you are not required to study ECF-Compliance Module 1.
4	Late Application	A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
5	Training Material	# For Module 1 & Module 2, training material (i.e. Study Guide and PPT Slides) will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners. # For Module 3 & Module 4, only a digital version of PPT Slides will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners. In addition, learners have to purchase two reference books by their own as a part of the essential readings.
6	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
	Others	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination.

For General Enquiries / Feedback:

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