

Enhanced Competency Framework (ECF) on Retail Wealth Management (Core & Professional Level) Training and Examination Schedule – 2023

Important Notes:

1. HKIB has been appointed as the Professional Qualification Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue a **QF-recognised Professional Qualification** (i.e. ARWP*/CRWP#).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

*Recognised under the QF at Level 4, QR Registration No.: 20/000536/L4, Validity Period from 01/08/2020 to 31/07/2025

#Recognised under the QF at Level 5, QR Registration No.: 20/000539/L5, Validity Period from 01/08/2020 to 31/07/2025

Training: Q1 – Q4 2023



IA CPD¹



HKIB CPD²



SFC CPT



FLEX Learning³



WAM Programme⁴

Module Name	Module No.	Code	Date	Time	Language (Supplemented with English materials)	Application Deadline ⁵	Fee ^{6,7} (HKD)
Essentials of Banking (Credit: 20)	3	HERW03P23031 FLEX Learning – Virtual Classroom	01 Mar 2023 (Wed) 08 Mar 2023 (Wed) 15 Mar 2023 (Wed) 22 Mar 2023 (Wed) 29 Mar 2023 (Wed)	19:00 – 22:00	Cantonese	CLOSED	
		HERW03P23061 FLEX Learning – Virtual Classroom	02 Jun 2023 (Fri) 09 Jun 2023 (Fri) 16 Jun 2023 (Fri) 23 Jun 2023 (Fri) 30 Jun 2023 (Fri)	19:00 – 22:00	Cantonese	CLOSED	
		HERW03P23101 FLEX Learning – Virtual Classroom	03 Oct 2023 (Tue) 10 Oct 2023 (Tue) 17 Oct 2023 (Tue) 24 Oct 2023 (Tue) 31 Oct 2023 (Tue)	19:00 – 22:00	Cantonese	18 Sep 2023 (Mon)	4,050
Investment and Asset Management: Product Solutions (Credit: 20)	5	HERW05P23031 FLEX Learning – Virtual Classroom	02 Mar 2023 (Thu) 09 Mar 2023 (Thu) 16 Mar 2023 (Thu) 23 Mar 2023 (Thu) 30 Mar 2023 (Thu)	19:00 – 22:00	Cantonese	CLOSED	
		HERW05P23061 FLEX Learning – Virtual Classroom	01 Jun 2023 (Thu) 08 Jun 2023 (Thu) 15 Jun 2023 (Thu) 21 Jun 2023 (Wed) 29 Jun 2023 (Thu)	19:00 – 22:00	Cantonese	CLOSED	
		HERW05P23101 FLEX Learning – Virtual Classroom	04 Oct 2023 (Wed) 11 Oct 2023 (Wed) 18 Oct 2023 (Wed) 25 Oct 2023 (Wed) 01 Nov 2023 (Wed)	19:00 – 22:00	Cantonese	19 Sep 2023 (Tue)	4,050
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	HERW06P23041 FLEX Learning – Virtual Classroom	06 Apr 2023 (Thu) 13 Apr 2023 (Thu) 20 Apr 2023 (Thu) 27 Apr 2023 (Thu) 04 May 2023 (Thu)	19:00 – 22:00	Cantonese	CLOSED	
		HERW06P23071 FLEX Learning – Virtual Classroom	06 Jul 2023 (Thu) 13 Jul 2023 (Thu) 20 Jul 2023 (Thu) 27 Jul 2023 (Thu) 03 Aug 2023 (Thu)	19:00 – 22:00	Cantonese	CLOSED	
		HERW06P23111 FLEX Learning – Virtual Classroom	06 Nov 2023 (Mon) 13 Nov 2023 (Mon) 20 Nov 2023 (Mon) 27 Nov 2023 (Mon) 04 Dec 2023 (Mon)	19:00 – 22:00	Cantonese	20 Oct 2023 (Fri)	4,050

Financial Planning and Wealth Management (Credit: 20)	7	HERW07P23051 FLEX Learning – Virtual Classroom	03 May 2023 (Wed) 10 May 2023 (Wed) 17 May 2023 (Wed) 23 May 2023 (Tue) 31 May 2023 (Wed)	19:00 – 22:00	Cantonese	CLOSED	
		HERW07P23111 Face-to-face Training	02-Nov-2023 (Thu) 09-Nov-2023 (Thu) 16 Nov 2023 (Thu) 23 Nov 2023 (Thu) 30 Nov 2023 (Thu) 07 Dec 2023 (Thu) 14 Dec 2023 (Thu)	19:00 – 22:00	Cantonese	01 Nov 2023 (Wed)	4,050

Examination: Q1 – Q4 2023, Q1 2024

In general, the candidates need around 2 months self-studying time to prepare for the examination. However, you may select the most appropriate examination session according to your competence level and learning plan.

Module Name	Module No.	Code	Date	Time	Application Deadline ⁵	Fee (HKD) ⁶
Essentials of Banking (Credit: 20)	3	EXAM-2022-10130	28 Feb 2023 (Tue)	19:00 – 21:30	CLOSED	
		EXAM-2022-10131	27 Apr 2023 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10132	29-Jun-2023 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10133	31-Aug-2023 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10134	26-Oct-2023 (Thu)	19:00 – 21:30	26-Sep-2023 (Tue)	1,100
		EXAM-2022-10135	19-Dec-2023 (Tue)	19:00 – 21:30	20-Nov-2023 (Mon)	1,100
Insurance and Retirement Planning (Credit: 20)	4	EXAM-2022-10281	03-Oct-2023 (Tue) 12-Oct-2023 (Thu)	19:00 – 21:30	12-Sep-2023 (Tue)	1,100
Investment and Asset Management: Product Solutions (Credit: 20)	5	EXAM-2022-10136	20-Apr-2023 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10137	13-Jun-2023 (Tue)	19:00 – 21:30	CLOSED	
		EXAM-2022-10138	14-Sep-2023 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10139	19 Dec 2023 (Tue)	19:00 – 21:30	20-Nov-2023 (Mon)	1,100
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	EXAM-2022-10140	20 Apr 2023 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10141	06-Jul-2023 (Thu)	19:00 – 21:30	CLOSED	
		EXAM-2022-10142	26-Oct-2023 (Thu)	19:00 – 21:30	26-Sep-2023 (Tue)	1,100
		EXAM-2022-10143	05-Mar-2024 (Tue)	19:00 – 21:30	05-Feb-2024 (Mon)	1,150

Financial Planning and Wealth Management (Credit: 20)	7	(Multiple Choice) EXAM-2022-10144	19-May-2023 (Fri)	19:00 – 20:15	CLOSED	
		(Case & Oral) ⁸ EXAM-2022-10145	21-May-2023 (Sun)	TBC		
		(Multiple Choice) EXAM-2022-10146	18-Aug-2023 (Fri)	19:00 – 20:15	CLOSED	
		(Case & Oral) ⁸ EXAM-2022-10147	20-Aug-2023 (Sun)	TBC		
		(Multiple Choice) EXAM-2022-10148	17-Nov-2023 (Fri)	19:00 – 20:15	17-Oct-2023 (Tue)	1,100 ⁹
		(Case & Oral) ⁸ EXAM-2022-10149	19-Nov-2023 (Sun)	TBC	19-Oct-2023 (Thu)	
		(Multiple Choice) EXAM-2022-10150	26-Jan-2024 (Fri)	19:00 – 20:15	22-Dec-2023 (Fri)	1,150 ⁹
		(Case & Oral) ⁸ EXAM-2022-10151	28-Jan-2024 (Sun)	TBC	28-Dec-2023 (Thu)	

Special Note:

- Learners are required to complete the training before attempting the examination
- For Modules 1, 2 & 4, please contact HKIB for further details
- For Module 7 applicants:
 - a. Please note that you are required to complete the training and examination of Module 1 to Module 6 before applying the training for Module 7.
 - b. For Module 1, Module 2 and Module 4, exemption from HKIB as equivalent is accepted. However, you should apply the exemption at least 2 months before the Module 7 training start date.

Programme Details

- Programme / Module Outline : Please refer to the programme brochure and the handbook for details
- Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment : Applicants can submit via the electronic application form in HKIB webpage.

Notes:

Note	Category	Details
1 & 2	CPD	- IA CPD will be applicable to Modules 3, 5, 6 & 7 only - By attending the above training, Professional Qualification holders of ACRP, CCRP(CL), CCRP(CPM), ACsP, AORP, CORP, ARWP, CRWP, CB (Stage I), CB (Stage II), CB and CFMP are eligible to claim HKIB CPD hours.
3	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
4	WAM	 The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: www.wamtalent.org.hk .
5	Late Application	A late entry fee of HKD200 will apply for late training and examination enrolment respectively.
6	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
7	Training Materials	A digital version of Study Guide and PPT Slides will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners.
8	Examination (Time)	Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
9	Examination (Fee)	The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HKD1,100 respectively.
	Others	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination schedule.

For General Enquiries / Feedback:

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