

## Enhanced Competency Framework (ECF) on Retail Wealth Management (Core & Professional Level) Training and Examination Schedule – 2024

### Important Notes:

1. HKIB has been appointed as the Professional Qualification Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue a **QF-recognised Professional Qualification** (i.e. ARWP\*/CRWP#).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

\*Recognised under the QF at Level 4, QR Registration No.: 20/000536/L4, Validity Period from 01/08/2020 to 31/07/2025

#Recognised under the QF at Level 5, QR Registration No.: 20/000539/L5, Validity Period from 01/08/2020 to 31/07/2025

### Training: Q1 – Q4 2024



IA CPD<sup>1</sup>



HKIB CPD<sup>2</sup>



SFC CPT



FLEX Learning<sup>3</sup>



WAM Programme<sup>4</sup>

| Module Name   | Module No. | Code   | Date  | Time  | Language (Supplemented with English materials) | Application Deadline <sup>5</sup> | Fee <sup>6,7</sup> (HKD) |
|---|------------|--|---|---|--|-----------------------------------|--------------------------|
| Essentials of Banking (Credit: 20)  | 3          | <b>HERW03P24031</b><br>FLEX Learning – Virtual Classroom | 06 Mar 2024 (Wed)<br>13 Mar 2024 (Wed)<br>20 Mar 2024 (Wed)<br>27 Mar 2024 (Wed)<br>03 Apr 2024 (Wed) | 19:00 – 22:00   | Cantonese                                      | 21 Feb 2024 (Wed)                 | 4,200                    |
|   |            | <b>HERW03P24061</b><br>FLEX Learning – Virtual Classroom | 01 Jun 2024 (Sat)<br>08 Jun 2024 (Sat)<br><br>15 Jun 2024 (Sat)                                       | 10:00 – 13:00 & 14:00 – 17:00 on 01 & 08 Jun<br><br>10:00 – 13:00 on 15 Jun | Cantonese                                      | 20 May 2024 (Mon)                 | 4,200                    |
|   |            | <b>HERW03P24101</b><br>FLEX Learning – Virtual Classroom | 3 Oct 2024 (Thu)<br>10 Oct 2024 (Thu)<br>17 Oct 2024 (Thu)<br>24 Oct 2024 (Thu)<br>31 Oct 2024 (Thu)  | 19:00 – 22:00   | Cantonese                                      | 19 Sep 2024 (Thu)                 | 4,200                    |
| Investment and Asset Management: Product Solutions (Credit: 20)                 | 5          | <b>HERW05P24031</b><br>FLEX Learning – Virtual Classroom | 02 Mar 2024 (Sat)<br>09 Mar 2024 (Sat)<br><br>16 Mar 2024 (Sat)                                       | 10:00 – 13:00 & 14:00 – 17:00 on 02 & 09 Mar<br><br>10:00 – 13:00 on 16 Mar | Cantonese                                      | 19 Feb 2024 (Mon)                 | 4,200                    |
|   |            | <b>HERW05P24061</b><br>FLEX Learning – Virtual Classroom | 04 Jun 2024 (Tue)<br>11 Jun 2024 (Tue)<br>18 Jun 2024 (Tue)<br>25 Jun 2024 (Tue)<br>02 Jul 2024 (Tue) | 19:00 – 22:00   | Cantonese                                      | 21 May 2024 (Tue)                 | 4,200                    |
|   |            | <b>HERW05P24101</b><br>FLEX Learning – Virtual Classroom | 8 Oct 2024 (Tue)<br>15 Oct 2024 (Tue)<br>22 Oct 2024 (Tue)<br>29 Oct 2024 (Tue)<br>05 Nov 2024 (Tue)  | 19:00 – 22:00   | Cantonese                                      | 23 Sep 2024 (Mon)                 | 4,200                    |
| Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20) | 6          | <b>HERW06P24041</b><br>FLEX Learning – Virtual Classroom | 10 Apr 2024 (Wed)<br>17 Apr 2024 (Wed)<br>24 Apr 2024 (Wed)<br>30 Apr 2024 (Tue)<br>08 May 2024 (Wed) | 19:00 – 22:00   | Cantonese                                      | 25 Mar 2024 (Mon)                 | 4,200                    |
|   |            | <b>HERW06P24111</b><br>FLEX Learning – Virtual Classroom | 12 Nov 2024 (Tue)<br>19 Nov 2024 (Tue)<br>26 Nov 2024 (Tue)<br>03 Dec 2024 (Tue)<br>10 Dec 2024 (Tue) | 19:00 – 22:00   | Cantonese                                      | 29 Oct 2024 (Tue)                 | 4,200                    |

|   |   |                                       |   |  |           |                   |       |
|---|---|---------------------------------------|---|--|-----------|-------------------|-------|
| Financial Planning and Wealth Management (Credit: 20) | 7 | HERW07P24051<br>Face-to-face Training | 22 May 2024 (Wed)<br>29 May 2024 (Wed)<br>05 Jun 2024 (Wed)<br>12 Jun 2024 (Wed)<br>19 Jun 2024 (Wed) | 19:00 – 22:00  | Cantonese | 07 May 2024 (Tue) | 4,200 |
|   |   | HERW07P24121<br>Face-to-face Training | 7 Dec 2024 (Sat)<br>14 Dec 2024 (Sat)<br>21 Dec 2024 (Sat)  | 10:00 – 13:00 &<br>14:00 – 17:00<br>on 07 & 14 Dec<br>10:00 – 13:00 on<br>21 Dec | Cantonese | 25 Nov 2024 (Mon) | 4,200 |

#### Examination: Q1 – Q2 2024

In general, the candidates need around 2 months self-studying time to prepare for the examination. However, you may select the most appropriate examination session according to your competence level and learning plan.

| Module Name   | Module No. | Code  | Date              | Time          | Application Deadline <sup>5</sup> | Fee (HKD) <sup>6</sup> |
|---|------------|---|-------------------|---------------|-----------------------------------|------------------------|
| Essentials of Banking (Credit: 20)  | 3          | EXAM-2022-10315                               | 06 Feb 2024 (Tue) | 19:00 - 21:30 | 05 Jan 2024 (Fri)                 | 1,150                  |
|   |            | EXAM-2022-10341                               | 09 May 2024 (Thu) | 19:00 - 21:30 | 09 Apr 2024 (Tue)                 | 1,150                  |
|   |            | EXAM-2022-10351                               | 18 Jul 2024 (Thu) | 19:00 - 21:30 | 18 Jun 2024 (Tue)                 | 1,150                  |
| Investment and Asset Management: Product Solutions (Credit: 20)                 | 5          | EXAM-2022-10316                               | 22 Feb 2024 (Thu) | 19:00 - 21:30 | 22 Jan 2024 (Mon)                 | 1,150                  |
|   |            | EXAM-2022-10319                               | 16 Apr 2024 (Tue) | 19:00 - 21:30 | 15 Mar 2024 (Fri)                 | 1,150                  |
|   |            | EXAM-2022-10350                               | 08 Aug 2024 (Thu) | 19:00 - 21:30 | 08 Jul 2024 (Mon)                 | 1,150                  |
| Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20) | 6          | EXAM-2022-10143                               | 05 Mar 2024 (Tue) | 19:00 – 21:30 | 05 Feb 2024 (Mon)                 | 1,150                  |
|   |            | EXAM-2022-10344                               | 11 Jun 2024 (Tue) | 19:00 - 21:30 | 10 May 2024 (Fri)                 | 1,150                  |
| Financial Planning and Wealth Management (Credit: 20)                           | 7          | (Multiple Choice)<br>EXAM-2022-10150          | 26 Jan 2024 (Fri) | 19:00 – 20:15 | 22 Dec 2023 (Fri)                 | 1,150 <sup>9</sup>     |
|   |            | (Case & Oral) <sup>8</sup><br>EXAM-2022-10151 | 28 Jan 2024 (Sun) | TBC           | 28 Dec 2023 (Thu)                 |                        |
|   |            | (Multiple Choice)<br>EXAM-2022-10352          | 02 Aug 2024 (Fri) | 19:00 – 20:15 | 02 Jul 2024 (Tue)                 | 1,150 <sup>9</sup>     |
|   |            | (Case & Oral) <sup>8</sup><br>EXAM-2022-10353 | 04 Aug 2024 (Sun) | TBC           | 04 Jul 2024 (Thu)                 |                        |


#### Special Note:

- Learners are required to complete the training before attempting the examination
- For Modules 1, 2 & 4, please contact HKIB for further details
- For Module 7 applicants:
  - a. Please note that you are required to complete the training and examination of Module 1 to Module 6 before applying the training for Module 7.
  - b. For Module 1, Module 2 and Module 4, exemption from HKIB as equivalent is accepted. However, you should apply the exemption at least 2 months before the Module 7 training start date.

#### Programme Details

- Programme / Module Outline : Please refer to the programme brochure and the handbook for details
- Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment : Applicants can submit via the electronic application form in HKIB webpage.

**Notes:**

| Note  | Category           | Details  |
|-------|--------------------|--|
| 1 & 2 | CPD                | - IA CPD will be applicable to Modules 3, 5, 6 & 7 only<br>- By attending the above training, Professional Qualification holders of ACRP, CCRP(CL), CCRP(CPM), ACsP, AORP, CORP, ARWP, CRWP, CB (Stage I), CB (Stage II), CB and CFMP are eligible to claim HKIB CPD hours.  |
| 3     | FLEX Learning      | The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.  |
| 4     | WAM                |  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: <a href="http://www.wamtalent.org.hk">www.wamtalent.org.hk</a> . |
| 5     | Late Application   | A late entry fee of HKD200 will apply for late training and examination enrolment respectively.  |
| 6     | Fee Discount       | HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.  |
| 7     | Training Materials | A digital version of Study Guide and PPT Slides will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners.   |
| 8     | Examination (Time) | Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.  |
| 9     | Examination (Fee)  | The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HKD1,100 respectively.   |
|       | Others             | HKIB reserves the right to cancel, change, and/or postpone the training and/or examination schedule.   |

**For General Enquiries / Feedback:**

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