

Enhanced Competency Framework (ECF) on Compliance (Core & Professional Level) Training and Examination Schedule –2023

Important Notes:

- HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
- Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. ACOP*/CCOP(GC)*/CCOP(IIC)*).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

*Recognised under the QF at Level 4, QR Registration No.: 23/000890/L4, Validity Period from 01/11/2023 to 31/07/2025

#Recognised under the QF at Level 5, QR Registration No.: 23/000891/L5, Validity Period from 01/11/2023 to 31/07/2025

^Recognised under the QF at Level 5, QR Registration No.: 23/000892/L5, Validity Period from 01/11/2023 to 31/07/2025

Training: Q3 – Q4 2023



- HKIB CPD¹



- SFC CPT



- FLEX Learning²

Module Name	Module No.	Code	Date	Time	Language (supplemented with English materials)	Application Deadline ⁴	Fee ^{5,6} (HKD)
Ethics and Corporate Governance in Banking Industry ³ (Credit: 10)	1	HECO01P23071 FLEX Learning – Virtual Classroom	05 Jul 2023 (Wed) 12 Jul 2023 (Wed) 19 Jul 2023 (Wed) 26 Jul 2023 (Wed) 02 Aug 2023 (Wed)	19:00 – 22:00		CLOSED	
Regulatory Framework and Compliance in Banking Industry ³ (Credit: 10)	2	HECO02P23081 FLEX Learning – Virtual Classroom	16 Aug 2023 (Wed) 23 Aug 2023 (Wed) 30 Aug 2023 (Wed) 06 Sep 2023 (Wed) 13 Sep 2023 (Wed)	19:00 – 22:00		CLOSED	
An Effective Compliance Function (Credit: 20)	3	HECO03P23091 Face-to-face Training	18 Sep 2023 (Mon) 25 Sep 2023 (Mon) (LIVE) 03 Oct 2023 (Tue) (LIVE) 10 Oct 2023 (Tue) (LIVE) 16 Oct 2023 (Mon) (LIVE) 24 Oct 2023 (Tue) (VIDEO) 30 Oct 2023 (Mon) (VIDEO)	19:00 – 22:00	English	11 Sep 2023 (Mon)	7,400
Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	4	To be hold on Q1 2024 Please refer to 2024 schedule					
Investment and Insurance Compliance (Credit: 30)	5	To be hold on Q2 2024 Please refer to 2024 schedule					

Examination: Q3 – Q4 2023, Q1 2024

In general, the candidates need around 2 months self-studying time to prepare for the examination. However, you may select the most appropriate examination session according to your competence level and learning plan.

Module Name	Module No.	Code	Date	Time	Application Deadline ⁴	Fee ⁶ (HKD)
Ethics and Corporate Governance in Banking Industry (Credit: 10)	1	EXAM-2022-10163	07 Sep 2023 (Thu)		CLOSED	
		EXAM-2022-10114	21 Nov 2023 (Tue)	19:00 – 20:30	24 Oct 2023 (Tue)	550

Regulatory Framework and Compliance in Banking Industry (Credit: 10)	2	EXAM-2022-10164	19 Oct 2023 (Thu)	CLOSED		
		EXAM-2022-10121	04 Jan 2024 (Thu)	19:00 – 20:30	05 Dec 2023 (Mon)	580
An Effective Compliance Function (Credit: 20)	3	EXAM-2022-10165	11 Jan 2024 (Thu)	19:00 – 21:30	11 Dec 2023 (Mon)	1,400
Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	4	TBC				
Investment and Insurance Compliance (Credit: 30)	5	TBC				

Special Note: Learners are required to complete the training before attempting the examination.

Programme Details:

Programme/ Module Outline : Please refer to programme brochure and handbook for details.

Venue: : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)

Notes:

Note	Category	Details
1	CPD	By attending the above training, Professional Qualification holders of AAMLPL, CAMLPL, ACRP, CCRP(CL), CCRP(CPM), ACsP, AORP, CORP, ARWP, CRWP, CB (Stage I), CB (Stage II), CB and CFMP are eligible to claim HKIB CPD hours.
2	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
3	Module Content	Module 1 and Module 2 are identical for both ECF-ORM and ECF-Compliance. Hence, an RP who has completed Module 1 and/or Module 2 under either of these ECF streams will not be required to complete the same module(s) under the other ECF stream. For example, if you have already completed ECF-ORM Module 1, you are not required to study ECF-Compliance Module 1.
4	Late Application	A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
5	Training Material	Digital version of training material (e.g. Study Guide, PPT) will be provided before training commencement.
6	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
	Others	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination.

For General Enquiries / Feedback:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR

Station Exit C) Tel: (852) 2153 7800

Email: cs@hkib.org