

## CPD Declaration Form (HKIB Professional Member / ECF Certification holder)

### Part A: Personal Details

Name in English\*: \_\_\_\_\_  
(as shown on identity document)

Name in Chinese: \_\_\_\_\_  
(as shown on identity document)

Mobile No.\*: \_\_\_\_\_

Membership Number: \_\_\_\_\_

\*Mandatory Fields

### Part B: Current Professional Qualification(s) & CPD Requirements

| Individual Members                        | Required CPD hours* | Remarks  |
|---|---------------------|--|
| Fellow CB                                 | 15                  | At least five CPD hours must be earned from topics of<br>- compliance,<br>- code of conduct,<br>- professional ethics, or<br>- risk management   |
| CB  | 15                  |  |
| CB (Stage II)                             | 15                  |  |
| CB (Stage I)<br>and<br>CB Macao (Stage I) | 5                   | N/A  |
| CAMPLP                                    | 12                  | At least six CPD hours must be earned from topics of AML/CFT and remaining CPD hours should be on:<br>- compliance (including financial crime compliance),<br>- legal and regulatory requirements,<br>- risk management, or<br>- ethics  |
| AAMPLP<br>and<br>AAMPLP Macao             | 10                  | At least five CPD hours must be earned from topics of AML/CFT and remaining CPD hours should be on:<br>- compliance (including financial crime compliance),<br>- legal and regulatory requirements,<br>- risk management, or<br>- ethics |
| CCOP(GC)                                  | 12                  | At least six CPD hours must be earned from topics of<br>- compliance,<br>- legal and regulatory requirements,<br>- risk management, and<br>- ethics  |
| CCOP(IIC)                                 | 12                  |  |
| ACOP                                      | 10                  | At least five CPD hours must be earned from topics of<br>- compliance,<br>- legal and regulatory requirements,<br>- risk management, and<br>- ethics   |
| CCRP(CL)                                  | 15                  | At least five CPD hours must be earned from topics of<br>- compliance,<br>- code of conduct,<br>- professional ethics, or<br>- risk management   |
| CCRP(CPM)                                 | 15                  |  |
| ACRP                                      | 15                  |  |
| ACsP                                      | 20/120              | A minimum of 20 CPD hours each year and a minimum of 120 CPD hours over every 3 years period   |

|                           |    |  |
|---------------------------|----|--|
| AGFP(PS)                  | 12 | At least five hours should be on topics related to GSF   |
| AGFP(ClimRM)              | 12 |  |
| AGFP(SDR)                 | 12 |  |
| AGFP(SSCC)                | 12 |  |
| CPFinT(M)                 | 12 | At least four CPD hours must be earned from topics of<br>- innovative technology<br>(e.g. artificial intelligence, big data, cloud computing, cybersecurity, distributed ledger technology, and/or open banking and API),<br>- product development,<br>- business strategy and marketing,<br>- finance and investment, and/or<br>- risk and compliance |
| CPFinT(S-AIBD)            | 12 |  |
| CPFinT(S-DLT)             | 12 |  |
| CPFinT(S-OBAPI)           | 12 |  |
| CPFinT(S-RT)              | 12 |  |
| CPFinT(A)                 | 12 |  |
| CORP                      | 12 | At least six CPD hours must be earned from topics of<br>- compliance,<br>- legal and regulatory requirements,<br>- risk management, and<br>- ethics  |
| AORP                      | 12 |  |
| CRWP<br>and<br>CRWP Macao | 10 | At least three CPD hours must be earned from topics of<br>- compliance,<br>- code of conduct,<br>- professional ethics, or<br>- risk management  |
| ARWP<br>and<br>ARWP Macao | 10 |  |
| CFMP                      | 15 | At least five CPD hours must be earned from topics of<br>- compliance,<br>- code of conduct,<br>- professional ethics, or<br>- risk management   |

\* The CPD requirements will be waived for the first calendar year (ending 31 December) of certification and grandfathering.

### **Part C: CPD Declaration**

- I declare that I **have fulfilled** the minimum CPD requirement\* for 2023
- I declare that I **have not fulfilled** the minimum CPD requirement\* for 2023 and understand that my professional qualification(s) will be suspended during 2024.

### **Notes on CPD requirements for Professional Member / ECF Certification holder**

- Qualified CPD activities must be relevant to the professional development of a banking and finance professional with the following scopes\*
  - compliance, code of conduct, professional ethics or risk management
  - banking and financial knowledge
  - economics
  - accounting
  - legal principles
  - business and people management
  - language and information technology

\* For AAML/CP holders, relevant topics of qualified CPD activities include AML/CFT, compliance (including financial crime compliance), legal and regulatory requirements, risk management or ethics.

2. Qualified CPD activities within the above scopes include:
  - HKIB CPD/CPT activities
  - HKIB e-learning courses
  - CPD/CPT courses organised / formally accredited by professional bodies, financial regulatory bodies or authorized institutions for their own CPD/CPT programmes
  - serving as judge for HKIB Awards
  - writing of published technical research articles, papers or books
  - teaching / delivering speeches
  - serving as a member of committees and working groups of HKIB, government bodies or any other relevant professional bodies
  - community / voluntary activities
  - mentoring scheme appointed by tertiary institutes, government bodies or professional bodies as a Mentor
3. Unless otherwise specified, one hour of a qualified CPD activity will be counted as one CPD hour under normal circumstances.
4. CPD activities lacking proof of attendance or assessment record for verification may not be counted.
5. For details, please refer to the Overview of HKIB CPD Scheme. It can be found at [www.hkib.org](http://www.hkib.org) under "Membership".

**Before submitting the declaration form, please ensure that:**

- ✓ You have read the "Notes on CPD requirements for Professional Member / ECF Certification holder".
- ✓ You have reviewed the requirements in the HKIB CPD Scheme. You have also read and understood the above.
- ✓ You confirm that the information provided is true and accurate.

Signature: \_\_\_\_\_

Date (dd/mm/yyyy): \_\_\_\_\_