

Expectation from the HKMA in respect of Sales of Green and Sustainable Investment Products by Authorized Institutions

Programme Code: HGES00P24021

28 February 2024 (Wednesday)
7:00pm – 10:00pm



HKIB ECF SFC
CPD CPD CPT

Programme Outline

1. Background and regulatory development in the ESG landscape
2. Product Due Diligence
3. Customer's sustainability preference
4. Green and sustainable investment products disclosures
5. Governance and controls in relation to ESG products
6. Scope of staff training
7. Bookbuilding activities who act as capital market intermediary.

Speaker Introduction

She has 15 years in Compliance and Audit from banks, fintech co and Big 4. She is currently executive director of a corporate bank and was deputy Alternate Chief Executive ("ACE") in a fintech co. She led functions including Compliance, Legal, Risk, Finance, Technology and Customer Service in 6 regions including Hong Kong and South East Asia countries. She has both in-house compliance knowledge and experience in dealing with various Hong Kong and overseas regulators. She also managed the on-site and off-site regulatory inspections. In addition, she worked in thematic reviews with the SFC.



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<https://www.hkib.org/page/185>

Target Audiences

1. Banking Practitioners
2. General banking practitioners to middle management from all banking divisions; and individuals who are interested in sustainable investment and ESG development
3. HKIB Professional Qualification holders :

CRWP ARWP ACsP

ACRP CCRP(CL) CCRP(CPM)



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

14 February 2024 (Wednesday)

Application DEADLINE

21 February 2024 (Wednesday)

PRICE LIST	Individual Member	Staff of Corporate Member	Non-Member
Early Bird	HKD 900	HKD 1,080	HKD 1,200
Regular Price	HKD 990	HKD 1,190	HKD 1,320