

# Advance KYC in Practice - Best Practical Examples and Case Studies with reference to HKMA AMLO Guidance

Programme Code: HGCP00P24041

**18 April 2024 (Thursday)**  
**7:00pm – 10:00pm**



**3 HOURS**  
HKIB ECF SFC  
CPD CPD CPT

## Programme Outline

1. Manage AML/CTF on the latest HKMA AMLO Guidance and HKAB FAQ on customer onboarding using Risk Based Approach ("RBA")
2. Getting ahead of recent regulatory actions related to failure to conduct proper Customer Due Diligence ("CDD")
3. Case studies and discussion on the best practices in CDD for specific products, services and scenarios including private banking, correspondent banking, syndicated lending, trade finance & global market activities
4. Refresh on the purpose and regulatory expectation over CDD/KYC based on latest HKMA AMLO Guidance
5. Best Practices on HKAB FAQ on CDD in Action - Elaborating the HKAB FAQ Paper on KYC Best Practices with practical examples and case studies
6. Discussion on selected CDD onboarding topics - SME Clients and remote onboarding

## Speaker Introduction

The speaker has 15 years in Compliance and Audit from banks, fintech co and Big 4. She was deputy Alternate Chief Executive ("ACE") in Hong Kong. She led functions including Compliance, Legal, Risk, Finance, Technology and Customer Service in 6 regions including Hong Kong and South East Asia countries. She has both in-house compliance knowledge and experience in dealing with various Hong Kong and overseas regulators. She also managed the on-site and off-site regulatory inspections. In addition, she worked in thematic reviews with the SFC.



## APPLY NOW!

Enquiry :  
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<https://hkib.org/page/185>

## Target Audience

1. Banking Partitioners
2. Compliance, Risk Management, Frontline Staff
3. HKIB Professional Qualification holders :

**C**AMPL **A**AMPL **C**RWP **A**RPW

**A**CsP **A**CRP **C**ORP **A**ORP

**C**CRP(CL) **C**CRP(CPM) **C**PFInT(M) **C**PFInT(S-OBAP)

**C**PFInT(S-AIBD) **C**PFInT(S-DLT) **C**PFInT(A) **C**PFInT(S-RT)



## Programme Delivery

Virtual Classroom (Zoom)  
English

## Early Bird DEADLINE

5 April 2024 (Friday)

## Application DEADLINE

11 April 2024 (Thursday)

### PRICE LIST

Early Bird  
Regular Price

Individual  
Member  
HKD

900

HKD990

Staff of  
Corporate  
Member  
HKD

1,080

HKD1,190

Non-  
Member  
HKD

1,200

HKD1,320