

Culture and Conduct – Why, What and How?

Programme Code: HGCP00P24051

2 May 2024 (Thursday)
12:00nn – 2:00pm



2 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

- **Why** sound culture and conduct are relevant for banking practitioners?
- **What** are the regulatory expectations and priorities?
- **How** to achieve sound culture and conduct in practice – learning and sharing through case studies

Speaker Introduction

The speaker has over 20 years' experience in the financial services industry. Before serving as Head of Compliance for a private bank, she previously gained experience at various banks and a local regulatory authority. The speaker holds professional qualifications including CFA, FCCA, Certified Banker, CAMLP, CCOP(GC) and CCOP(IIC) etc. In addition, she holds a Master's degree in Regulation from the London School of Economics and Political Science.

Target Audience

1. Directors of Board, senior bankers
2. Practitioners at all levels of all AIs
3. HKIB Professional Qualification holders :

CAMLP **AAML**P **CRWP** **ARWP**
ACsP **ACRP** **CORP** **AORP**
CCRP(CL) **CCRP(CPM)** **CPFinT(M)** **CPFinT**
(S-OBAP)
CPFinT **CPFinT** **CPFinT(A)** **CPFinT**
(S-AIBD) (S-DLT) (S-RT)



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

18 April 2024 (Thursday)

Application DEADLINE

25 April 2024 (Thursday)



APPLY NOW!

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<https://hkib.org/page/185>

PRICE LIST

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