

## Enhanced Competency Framework (ECF) on Compliance (Core & Professional Level) Training and Examination Schedule –2024

### Important Notes:

- HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
- Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. ACOP\*/CCOP(GC)\*/CCOP(IC)\*).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

\*Recognised under the QF at Level 4, QR Registration No.: 23/000890/L4, Validity Period from 01/11/2023 to 31/07/2025

\*\*Recognised under the QF at Level 5, QR Registration No.: 23/000891/L5, Validity Period from 01/11/2023 to 31/07/2025

\*\*\*Recognised under the QF at Level 5, QR Registration No.: 23/000892/L5, Validity Period from 01/11/2023 to 31/07/2025

**Training: Q1 – Q4 2024**  - HKIB CPD<sup>1</sup>  - SFC CPT  - FLEX Learning<sup>2</sup>

### NEW!!! Special Package for Pilot Run of ECF-Compliance M4 & M5

Module Name	Module No.	Code	Date	Time	Language (supplemented with English materials)	Application Deadline <sup>5</sup>	Fee <sup>6,7</sup> (HKD)
Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	4	HECO04P24041 BUNDLE	<b>Training (face-to-face):</b> 17 Apr 2024 (Wed) (LIVE) 24 Apr 2024 (Wed) (LIVE) 30 Apr 2024 (Tue) (LIVE) 7 May 2024 (Tue) (LIVE) 14 May 2024 (Tue) (LIVE) 21 May 2024 (Tue) (VAT <sup>3</sup> ) 28 May 2024 (Tue) (VAT <sup>3</sup> )	19:00 – 22:00	Cantonese (English for VAT <sup>3</sup> Class)	11 Apr 2024 (Thu)	9,800
			<b>Examination:</b> 30 Jul 2024 (Tue)	19:00 - 22:00			8,800
Investment and Insurance Compliance (Credit: 30)	5	HECO05P24061 BUNDLE	<b>Training (face-to-face):</b> 12 Jun 2024 (Wed) 19 Jun 2024 (Wed) 26 Jun 2024 (Wed) 3 Jul 2024 (Wed) 10 Jul 2024 (Wed) 17 Jul 2024 (Wed) 24 Jul 2024 (Wed)	19:00 – 22:00	Cantonese	28 May 2024 (Tue)	7,900
			<b>Examination:</b> 24 Sep 2024 (Tue)	19:00 - 22:00			7,000

Module Name	Module No.	Code	Date	Time	Language (supplemented with English materials)	Application Deadline <sup>5</sup>	Fee <sup>6,7</sup> (HKD)
Ethics and Corporate Governance in Banking Industry <sup>4</sup> (Credit: 10)	1	HECO01P24011 FLEX Learning – Virtual Classroom	20 Jan 2024 (Sat) 27 Jan 2024 (Sat) 3 Feb 2024 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 20 & 27 Jan 10:00 – 13:00 on 3 Feb	Cantonese	<b>CLOSED</b>	
		HECO01P24041 FLEX Learning – Virtual Classroom	11 Apr 2024 (Thu) 18 Apr 2024 (Thu) 25 Apr 2024 (Thu) <del>2 May 2024 (Thu)</del> 6 May 2024 (Mon) 9 May 2024 (Thu)	19:00 – 22:00	English	25 Mar 2024 (Mon)	4,050
		HECO01P24081 FLEX Learning – Virtual Classroom	7 Aug 2024 (Wed) 14 Aug 2024 (Wed) 21 Aug 2024 (Wed) 28 Aug 2024 (Wed) 4 Sep 2024 (Wed)	19:00 – 22:00	Cantonese	24 Jul 2024 (Wed)	4,050
Regulatory Framework and Compliance in Banking Industry <sup>4</sup> (Credit: 10)	2	HECO02P24021 FLEX Learning – Virtual Classroom	24 Feb 2024 (Sat) 2 Mar 2024 (Sat) 9 Mar 2024 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 24 Feb & 2 Mar 10:00 – 13:00 on 9 Mar	Cantonese	<b>CLOSED</b>	

		<b>HECO02P24051</b> <b>FLEX Learning – Virtual Classroom</b>	16 May 2024 (Thu) 23 May 2024 (Thu) 30 May 2024 (Thu) 6 Jun 2024 (Thu) 13 Jun 2024 (Thu)	19:00 – 22:00	English	30 Apr 2024 (Tue)	4,050
		<b>HECO02P24091</b> <b>FLEX Learning – Virtual Classroom</b>	25 Sep 2024 (Wed) 2 Oct 2024 (Wed) 9 Oct 2024 (Wed) 16 Oct 2024 (Wed) 23 Oct 2024 (Wed)	19:00 – 22:00	Cantonese	11 Sep 2024 (Wed)	4,050
<b>An Effective Compliance Function (Credit: 20)</b>	3	<b>HECO03P24071</b> <b>Face-to-face Training</b>	13 Jul 2024 (Sat) (LIVE) 20 Jul 2024 (Sat) (LIVE) 27 Jul 2024 (Sat) (VAT <sup>3</sup> )	10:00 – 13:00; 14:00 – 17:00	Cantonese (English for VAT <sup>3</sup> Class)	28 Jun 2024 (Fri)	7,400
		<b>HECO03P24111</b> <b>Face-to-face Training</b>	13 Nov 2024 (Wed) (LIVE) 20 Nov 2024 (Wed) (LIVE) 27 Nov 2024 (Wed) (LIVE) 4 Dec 2024 (Wed) (LIVE) 11 Dec 2024 (Wed) (VAT <sup>3</sup> ) 18 Dec 2024 (Wed) (VAT <sup>3</sup> )	19:00 – 22:00	English	31 Oct 2024 (Thu)	7,400
<b>Regulatory Compliance (Advanced) &amp; Building a Sustainable Compliance Framework – General Compliance (Credit: 30)</b>	4	<b>HECO04P24041</b> <b>Face-to-face Training</b>	17 Apr 2024 (Wed) (LIVE) 24 Apr 2024 (Wed) (LIVE) 30 Apr 2024 (Tue) (LIVE) 7 May 2024 (Tue) (LIVE) 14 May 2024 (Tue) (LIVE) 21 May 2024 (Tue) (VAT <sup>3</sup> ) 28 May 2024 (Tue) (VAT <sup>3</sup> )	19:00 – 22:00	Cantonese (English for VAT <sup>3</sup> Class)	11 Apr 2024 (Thu)	<del>8,400</del> <b>8,000</b>
		<b>HECO04P24091</b> <b>Face-to-face Training</b>	14 Sep 2024 (Sat) (LIVE) 21 Sep 2024 (Sat) (LIVE) 28 Sep 2024 (Sat) (LIVE +VAT <sup>3</sup> ) 5 Oct 2024 (Sat) (VAT <sup>3</sup> )	10:00 – 13:00; 14:00 – 17:00 on 14, 21 & 28 Sep 14:00 – 17:00 on 5 Oct	English	2 Sep 2024 (Mon)	8,400
<b>Investment and Insurance Compliance (Credit: 30)</b>	5	<b>HECO05P24061</b> <b>Face-to-face Training</b>	12 Jun 2024 (Wed) 19 Jun 2024 (Wed) 26 Jun 2024 (Wed) 3 Jul 2024 (Wed) 10 Jul 2024 (Wed) 17 Jul 2024 (Wed) 24 Jul 2024 (Wed)	19:00 – 22:00	Cantonese	28 May 2024 (Tue)	<del>6,500</del> <b>6,000</b>
		<b>HECO05P24111</b> <b>Face-to-face Training</b>	2 Nov 2024 (Sat) 9 Nov 2024 (Sat) 16 Nov 2024 (Sat) 23 Nov 2024 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 2, 9 & 16 Nov 10:00 – 13:00 on 23 Nov	English	23 Oct 2024 (Wed)	6,500

### Examination: Q4 2023, Q1 – Q4 2024

In general, the candidates need around 2 months self-studying time to prepare for the examination. However, you may select the most appropriate examination session according to your competence level and learning plan.

Module Name	Module No.	Code	Date	Time	Application Deadline <sup>5</sup>	Fee <sup>6</sup> (HKD)
<b>Ethics and Corporate Governance in Banking Industry (Credit: 10)</b>	1	<b>EXAM-2022-10387B</b>	12 Mar 2024 (Tue)	19:00 - 20:30	14 Feb 2024 (Wed)	580
		<b>EXAM-2022-10343B</b>	11 Jun 2024 (Tue)	19:00 - 20:30	13 May 2024 (Mon)	580
		<b>EXAM-2022-10365B</b>	28 Nov 2024 (Thu)	19:00 - 20:30	28 Oct 2024 (Mon)	580
<b>Regulatory Framework and Compliance in Banking Industry (Credit: 10)</b>	2	<b>EXAM-2022-10121B</b>	4 Jan 2024 (Thu)	<b>CLOSE</b>		580
		<b>EXAM-2022-10388B</b>	16 April 2024 (Tue)	19:00 – 20:30	15 Mar 2024 (Fri)	580
		<b>EXAM-2022-10347B</b>	16 Jul 2024 (Tue)	19:00 – 20:30	14 Jun 2024 (Fri)	580
		<b>EXAM-2022-10366B</b>	17 Dec 2024 (Tue)	19:00 – 20:30	18 Nov 2024 (Mon)	580

An Effective Compliance Function (Credit: 20)	3	EXAM-2022-10165	11 Jan 2024 (Thu)	CLOSE		1,400
		EXAM-2022-10356	3 Sep 2024 (Tue)	19:00 – 21:30	5 Aug 2024 (Mon)	1,400
Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	4	EXAM-2022-10345	30 Jul 2024 (Tue)	19:00 – 22:00	Refer to the Package at the top	
		EXAM-2022-10368	26 Nov 2024 (Tue)	19:00 – 22:00	25 Oct 2024 (Fri)	1,400
Investment and Insurance Compliance (Credit: 30)	5	EXAM-2022-10354	24 Sep 2024 (Tue)	19:00 – 22:00	Refer to the Package at the top	

**Special Note:** Learners are required to complete the training before attempting the examination.

#### Programme Details:

Programme/  
Module Outline : Please refer to programme brochure and handbook for details.

Venue: : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)

#### Notes:

Note	Category	Details
1	CPD	By attending the above training, Professional Qualification holders of AAMLP, CAMLP, ARWP, CRWP, ACsP, AORP, CORP, ACOP, CCOP(GC), CCOP(IIC), ACRP, CCRP(CL), CCRP(CPM), CPFInT(A), CPFInT(M), CPFInT(S-AIBD), CPFInT(S-DLT), CPFInT(S-OBAPI) and CPFInT(S-RT), CB (Stage I), CB (Stage II), CB, and CFMP are eligible to claim HKIB CPD hours.
2	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
3	VAT Training Mode	Designated chapters of Module 3 & 4 are delivered using Video-Assisted Training with pre-recorded videos and facilitation support of designated teaching assistant.
4	Module Content	Module 1 and Module 2 are identical for both ECF-ORM and ECF-Compliance. Hence, an RP who has completed Module 1 and/or Module 2 under either of these ECF streams will not be required to complete the same module(s) under the other ECF stream. For example, if you have already completed ECF-ORM Module 1, you are not required to study ECF-Compliance Module 1.
5	Late Application	A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
6	Training Material	Digital version of training material (e.g. Study Guide, PPT) will be provided before training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners.
7	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
	Others	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination.

#### For General Enquiries / Feedback:

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