

Regulatory Updates and Enforcement Cases for Financial Institutions

Programme Code: HGGB00P24081

15 August 2024 (Thursday)
12:00nn – 2:00pm



2 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

Stay ahead in the dynamic financial landscape with our comprehensive course on recent regulatory updates and enforcement cases. Gain access to key focus areas and trends from regulators in Hong Kong, Singapore, the Greater Bay Area, and other international jurisdictions. Dive into the latest changes from HKMA, SFC, and other key global bodies, covering Anti-Money Laundering (AML) regulations, fintech innovations, cyber security, cross-border services in the GBA, and international trends including ESG criteria.

Analyze recent enforcement cases of financial institutions to understand violations, penalties and lessons learned. Examine detailed examples to gain insights into compliance and risk management best practices. Enhance your institution's compliance culture and internal controls with practical strategies and insights from leading financial entities.

Speaker Introduction

The speaker is a seasoned compliance professional with 16+ years of experience in financial sectors. She excels in regulatory compliance, wealth management, and fintech. With an impressive academic background and leadership roles, she merges compliance and technology. She is a renowned speaker, lecturer, and influencer in the industry, recognized for her expertise in Regtech and financial crime prevention.



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<https://hkib.org/page/185>

Target Audiences

1. Banking Practitioners
2. Regulators and compliance professionals, financial professionals, IT professionals,
3. HKIB Professional Qualification holders :

CAMLP AAMLP CRWP ARWP

ACsP ACRP CORP AORP

CCRP(CL) CCRP(CPM) CPFInT(M) CPFInT(S-OBAPI)

CPFInT(S-AIBD) CPFInT(S-DLT) CPFInT(A) CPFInT(S-RT)



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Early Bird DEADLINE

1 August 2024 (Thursday)

Application DEADLINE

8 August 2024 (Thursday)

**PRICE
LIST**

Early Bird

Regular Price

Individual
Member
HKD

600

HKD660

Staff of
Corporate
Member
HKD

720

HKD790

Non-
Member
HKD

800

HKD880