

Ethics in Action: Case Studies on Managing Conflicts with Regulatory Refresher

Programme Code: HGCP00P25063

6 June 2025 (Friday)
7:00pm – 9:00pm



2 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

- Ethics vs. compliance – Is there a notable difference
- Self-reflection: Identifying your conflicts of interest at work
- Avoid falling into cracks: Understanding regulatory expectations and recent updates
- Case studies – Lesson learned from local and overseas cases
- Ethics in action: Handling conflicts of interest situations

Speaker Introduction

The speaker has over 20 years of experience in the financial services industry. Currently, she teaches compliance and regulations in a local university as an adjunct associate professor. Besides, she provided professional training and consultancy services to various institutions. Previously, she served as the Head of Compliance for private banks. The speaker holds professional qualifications including CFA, FCCA, Certified Banker, CAMLP, CCOP(GC) and CCOP(IIC) etc. In addition, she holds a Master's degree in Regulation.



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Enquiry :
(852) 2153 7800
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Target Audience

1. Banking Partitioners
2. Compliance Officers, Risk Managers
3. Legal and Regulatory Affairs Professionals
4. HKIB Professional Qualification holders :



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Application DEADLINE

30 May 2025 (Friday)

**PRICE
LIST**

Price

Individual
Member
HKD

HKD660

Staff of
Corporate
Member
HKD

HKD800

Non-
Member
HKD

HKD880