

## Enhanced Competency Framework (ECF) on Retail Wealth Management (Core & Professional Level) Training and Examination Schedule

### Important Notes:

1. HKIB has been appointed as the Professional Qualification Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue a **QF-recognised Professional Qualification** (i.e. ARWP\*/CRWP#).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

\*Recognised under the QF at Level 4, QR Registration No.: 20/000536/L4, Validity Period from 01/08/2020 to 31/07/2025

#Recognised under the QF at Level 5, QR Registration No.: 20/000539/L5, Validity Period from 01/08/2020 to 31/07/2025

### Training<sup>1</sup>: 2025



IA CPD<sup>2</sup>



HKIB CPD<sup>3</sup>



SFC CPT



FLEX Learning<sup>4</sup>



WAM Programme<sup>5</sup>

| Module Name                                                                        | Module No.        | Code                                                           | Date              | Time                          | Language<br>(Supplemented with English materials) | Application Deadline <sup>6</sup> | Fee <sup>7,8</sup> (HKD) |
|------------------------------------------------------------------------------------|-------------------|----------------------------------------------------------------|-------------------|-------------------------------|---------------------------------------------------|-----------------------------------|--------------------------|
| Essentials of Banking<br>(Credit: 20)                                              | 3                 | HERW03P25031<br>FLEX Learning – Virtual Classroom              | 13 Mar 2025 (Thu) | 19:00 – 22:00                 | Cantonese                                         | CLOSED                            |                          |
|                                                                                    |                   |                                                                | 20 Mar 2025 (Thu) |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 27 Mar 2025 (Thu) |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 3 Apr 2025 (Thu)  |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 10 Apr 2025 (Thu) |                               |                                                   |                                   |                          |
|                                                                                    |                   | HERW03P25061<br>FLEX Learning – Virtual Classroom              | 7 Jun 2025 (Sat)  | 10:00 – 13:00 & 14:00 – 17:00 | Cantonese                                         | 26 May 2025 (Mon)                 | 4,400                    |
|                                                                                    | 14 Jun 2025 (Sat) |                                                                |                   |                               |                                                   |                                   |                          |
|                                                                                    | 21 Jun 2025 (Sat) | 10:00 – 13:00                                                  |                   |                               |                                                   |                                   |                          |
|                                                                                    |                   | (Updated)<br>HERW03P25091<br>FLEX Learning – Virtual Classroom | 10 Sep 2025 (Wed) | 19:00 – 22:00                 | Cantonese                                         | 27 Aug 2025 (Wed)                 | 4,400                    |
|                                                                                    | 17 Sep 2025 (Wed) |                                                                |                   |                               |                                                   |                                   |                          |
|                                                                                    | 24 Sep 2025 (Wed) |                                                                |                   |                               |                                                   |                                   |                          |
|                                                                                    | 30 Sep 2025 (Tue) |                                                                |                   |                               |                                                   |                                   |                          |
|                                                                                    | 8 Oct 2025 (Wed)  |                                                                |                   |                               |                                                   |                                   |                          |
| Investment and Asset Management: Product Solutions<br>(Credit: 20)                 | 5                 | HERW05P25031<br>FLEX Learning – Virtual Classroom              | 15 Mar 2025 (Sat) | 10:00 – 13:00 & 14:00 – 17:00 | Cantonese                                         | CLOSED                            |                          |
|                                                                                    |                   |                                                                | 22 Mar 2025 (Sat) |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 29 Mar 2025 (Sat) | 10:00 – 13:00                 |                                                   |                                   |                          |
|                                                                                    |                   | HERW05P25061<br>FLEX Learning – Virtual Classroom              | 18 Jun 2025 (Wed) | 19:00 – 22:00                 | Cantonese                                         | 4 Jun 2025 (Wed)                  | 4,400                    |
|                                                                                    |                   |                                                                | 25 Jun 2025 (Wed) |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 2 Jul 2025 (Wed)  |                               |                                                   |                                   |                          |
| 9 Jul 2025 (Wed)                                                                   |                   |                                                                |                   |                               |                                                   |                                   |                          |
|                                                                                    | 16 Jul 2025 (Wed) |                                                                |                   |                               |                                                   |                                   |                          |
| Investment and Asset Management: Alternatives and Wealth Solutions<br>(Credit: 20) | 6                 | HERW06P25041<br>FLEX Learning – Virtual Classroom              | 24 Apr 2025 (Thu) | 19:00 – 22:00                 | Cantonese                                         | CLOSED                            |                          |
|                                                                                    |                   |                                                                | 30 Apr 2025 (Wed) |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 8 May 2025 (Thu)  |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 15 May 2025 (Thu) |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 22 May 2025 (Thu) |                               |                                                   |                                   |                          |
|                                                                                    |                   | HERW06P25071<br>FLEX Learning – Virtual Classroom              | 26 Jul 2025 (Sat) | 10:00 – 13:00 & 14:00 – 17:00 | Cantonese                                         | 14 Jul 2025 (Mon)                 | 4,400                    |
|                                                                                    | 2 Aug 2025 (Sat)  |                                                                |                   |                               |                                                   |                                   |                          |
|                                                                                    | 9 Aug 2025 (Sat)  | 10:00 – 13:00                                                  |                   |                               |                                                   |                                   |                          |
| Financial Planning and Wealth Management<br>(Credit: 20)                           | 7                 | HERW07P25051<br>Face-to-face Training                          | 6 May 2025 (Tue)  | 10:00 – 13:00 & 14:00 – 17:00 | Cantonese                                         | CLOSED                            |                          |
|                                                                                    |                   |                                                                | 14 May 2025 (Wed) |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 21 May 2025 (Wed) | 10:00 – 13:00                 |                                                   |                                   |                          |
|                                                                                    |                   | HERW07P25091<br>Face-to-face Training                          | 30 Sep 2025 (Tue) | 19:00 – 22:00                 | Cantonese                                         | 16 Sep 2025 (Tue)                 | 4,400                    |
|                                                                                    |                   |                                                                | 8 Oct 2025 (Wed)  |                               |                                                   |                                   |                          |
|                                                                                    |                   |                                                                | 14 Oct 2025 (Tue) |                               |                                                   |                                   |                          |
| 21 Oct 2025 (Tue)                                                                  |                   |                                                                |                   |                               |                                                   |                                   |                          |
|                                                                                    | 28 Oct 2025 (Tue) |                                                                |                   |                               |                                                   |                                   |                          |

## Examination<sup>1</sup>: 2025

Candidates are suggested to select the most appropriate examination session according to their competence level and learning plan, including self-studying time to prepare for the examination.

| Module Name                                                                     | Module No. | Code                                          | Date              | Time                       | Application Deadline <sup>6</sup> | Fee (HKD) <sup>7</sup> |
|---------------------------------------------------------------------------------|------------|-----------------------------------------------|-------------------|----------------------------|-----------------------------------|------------------------|
| Essentials of Banking (Credit: 20)                                              | 3          | EXAM-2025-0164                                | 23 Jan 2025 (Thu) | 19:00 - 21:30              | CLOSED                            |                        |
|                                                                                 |            | EXAM-2025-0015                                | 15 May 2025 (Thu) | 19:00 - 21:30              | CLOSED                            |                        |
|                                                                                 |            | EXAM-2025-0043                                | 24 Jul 2025 (Thu) | 19:00 - 21:30              | 24 Jun 2025 (Tue)                 | 1,200                  |
|                                                                                 |            | EXAM-2025-0120                                | 23 Sep 2025 (Tue) | 19:00 - 21:30              | 23 Aug 2025 (Sat)                 | 1,200                  |
|                                                                                 |            | EXAM-2025-0070                                | 4 Nov 2025 (Tue)  | 19:00 - 21:30              | 4 Oct 2025 (Sat)                  | 1,200                  |
| Investment and Asset Management: Product Solutions (Credit: 20)                 | 5          | EXAM-2025-0166                                | 21 Mar 2025 (Fri) | 19:00 - 21:30              | CLOSED                            |                        |
|                                                                                 |            | EXAM-2025-0016                                | 16 May 2025 (Fri) | 19:00 - 21:30              | 16 Apr 2025 (Wed)                 | 1,200                  |
|                                                                                 |            | EXAM-2025-0046                                | 20 Aug 2025 (Wed) | 19:00 - 21:30              | 20 Jul 2025 (Sun)                 | 1,200                  |
| Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20) | 6          | EXAM-2022-10381                               | 16 Jan 2025 (Thu) | 19:00 - 21:30              | CLOSED                            |                        |
|                                                                                 |            | EXAM-2025-0026                                | 17 Sep 2025 (Wed) | 19:00 - 21:30              | 17 Aug 2025 (Sun)                 | 1,200                  |
|                                                                                 |            | EXAM-2025-0130                                | 14 Nov 2025 (Fri) | 19:00 - 21:30              | 14 Oct 2025 (Tue)                 | 1,200                  |
| Financial Planning and Wealth Management (Credit: 20)                           | 7          | (Multiple Choice)<br>EXAM-2022-10382          | 7 Feb 2025 (Fri)  | 19:00 – 20:15              | CLOSED                            |                        |
|                                                                                 |            | (Case & Oral) <sup>9</sup><br>EXAM-2022-10383 | 9 Feb 2025 (Sun)  | 10:00 – 18:00 <sup>9</sup> | CLOSED                            |                        |
|                                                                                 |            | (Multiple Choice)<br>EXAM-2025-0030           | 19 Sep 2025 (Fri) | 19:00 – 20:15              | 19 Aug 2025 (Tue)                 | 600                    |
|                                                                                 |            | (Case & Oral) <sup>9</sup><br>EXAM-2025-0031  | 21 Sep 2025 (Sun) | 10:00 – 18:00 <sup>9</sup> | 21 Aug 2025 (Thu)                 | 1,200                  |
|                                                                                 |            | (Multiple Choice)<br>EXAM-2025-0078           | 4 Dec 2025 (Thu)  | 19:00 – 20:15              | 4 Nov 2025 (Tue)                  | 600                    |
|                                                                                 |            | (Case & Oral) <sup>9</sup><br>EXAM-2025-0079  | 7 Dec 2025 (Sun)  | 10:00 – 18:00 <sup>9</sup> | 7 Nov 2025 (Fri)                  | 1,200                  |

### Special Note:


- Learners are required to complete the training before attempting the examination
- For Modules 1, 2 & 4, please contact HKIB for further details
- For Module 7 applicants:
  - Please note that you are required to complete the training and examination of Module 1 to Module 6 before applying the training for Module 7.
  - For Module 1, Module 2 and Module 4, exemption from HKIB as equivalent is accepted. However, you should apply the exemption at least 2 months before the Module 7 training start date.

### Programme Details

|                            |                                                                                                                                                                                                |
|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Programme / Module Outline | : Please refer to the programme brochure and the handbook for details                                                                                                                          |
| Venue                      | : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice) |
| Enrolment                  | : Applicants can submit the application via <a href="#">MyHKIB</a> in advance at most 6 months before.                                                                                         |

### Notes:

| Note  | Category      | Details                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1     | Others        | - HKIB reserves the right to cancel, change, and/or postpone the training and/or examination schedule.                                                                                                                                                                                                                                                                                                                                    |
| 2 & 3 | CPD           | - IA CPD will be applicable to Modules 3, 5, 6 & 7 only<br>By attending the above training, Professional Qualification holders of ARWP, CRWP, ACsP, AORP, CORP, ACOP, CCOP(GC), CCOP(IIC), ACRP, CCRP(CL), CCRP(CPM), CPFInT(A), CPFInT(M), CPFInT(S-AIBD), CPFInT(S-DLT), CPFInT(S-OBAP), CPFInT(S-RT) ), AGFP(PS), AGFP(ClimRM), AGFP(SDR), AGFP(SSCC), CB (Stage I), CB (Stage II), CB, and CFMP are eligible to claim HKIB CPD hours. |
| 4     | FLEX Learning | The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.                                                                                                                                                                                                                                 |

|   |                           |                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|---|---------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 5 | <b>WAM</b>                |  The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: <a href="http://www.wamtalent.org.hk">www.wamtalent.org.hk</a> . |
| 6 | <b>Late Application</b>   | A late entry fee of HKD200 will apply for late training and examination enrolment respectively.                                                                                                                                                                                                                                                                                                                                     |
| 7 | <b>Fee Discount</b>       | HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.                                                                                                                                                                                                                                                                                                                     |
| 8 | <b>Training Materials</b> | A digital version of Study Guide and PPT Slides will be provided before the training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners.                                                                                                                                                                                                          |
| 9 | <b>Examination (Time)</b> | Exam candidates of M7 Case & Oral will be informed of the actual exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.                                                                                                                                                                                                                                                                          |

**For General Enquiries / Feedback:**

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Email: [cs@hkib.org](mailto:cs@hkib.org)