

Deep Dive into Operational Risk - Internal Fraud

Programme Code: HGRS00P25081

26 August 2025 (Tuesday)
12:00nn – 2:00pm

2 HOURS
HKiB ECF SFC
CPD CPD CPT

Programme Outline

Session 1 - Exploring internal fraud variations

1. Basel risk type classification
2. Definition of internal fraud
3. Different kinds of internal fraud
4. Preventive measures on internal fraud

Session 2 - Boosting an ethical culture

1. Importance of a strong ethical culture
2. The Bank Reform Act UK
3. The Bank Culture Reform HK
4. How to establish a Conduct Management Office - useful governance model and applications
5. Key lesson learned from practical cases

Speaker Introduction

The speaker previously served as the Deputy Group Head of Personal and Commercial Banking at a major commercial bank in Hong Kong, with over 35 years of experience in the banking industry. He focused on retail banking, fintech, operational risk management, anti-money laundering, counter-terrorist financing, and internal auditing. He is also a member of the Executive Committee for China Retail Banking at a currency-issuing bank in Hong Kong, where he serves as an Executive Director. He has held several senior positions at the bank, including Head of Internal Audit for Retail Banking in the Asia-Pacific region, responsible for non-credit audits across 11 countries, and has extensive experience in business management.

The speaker has comprehensive experience in sales, operations, and risk management. He holds a Master Degree of Business Administration (MBA) from the University of Leicester and is a Certified Operational Risk Professional (CORP), a Certified Anti-Money Laundering Specialist (CAMS), and Certified Internal Auditor (CIA, 2000-2016)



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Enquiry :
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Target Audiences

1. Banking Practitioners;
2. Risk Management, Operation Risk Management and Risk Assessment Personnel ;
3. HKiB Professional Qualification Holders :



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Application DEADLINE

19 August 2025 (Tuesday)

PRICE
LIST

Price

Individual
Member
HKD

HKD660

Staff of
Corporate
Member
HKD

HKD800

Non-
Member
HKD

HKD880