

Advance KYC in Practice - Best Practical Examples and Case Studies with Reference to HKMA AMLO Guidance

Programme Code: HGCP00P25102

15 October 2025 (Wednesday)
7:00pm – 10:00pm



HKIB ECF SFC
CPD CPD CPT

Programme Outline

1. Manage AML/CTF on the latest HKMA AMLO Guidance and HKAB FAQ on customer onboarding using Risk Based Approach ("RBA")
2. Getting ahead of recent regulatory actions related to failure to conduct proper Customer Due Diligence ("CDD")
3. Case studies and discussion on the best practices in CDD for specific products, services and scenarios including private banking, trust arrangements and trade finance & global market activities
4. Refresh on the purpose and regulatory expectation over CDD/KYC based on latest HKMA AMLO Guidance
5. Best Practices on HKAB FAQ on CDD in Action - Elaborating the HKAB FAQ Paper on KYC Best Practices with practical examples
6. Discussion on selected CDD onboarding topics

Speaker Introduction

The speaker has 15 years in Compliance and Audit from banks, fintech company and Big 4 firm. She was Deputy Alternate Chief Executive ("ACE") in Hong Kong. She led functions including Compliance, Legal, Risk, Finance, Technology and Customer Service in 6 regions including Hong Kong and Southeast Asian countries. She has both in-house compliance knowledge and experience in dealing with various Hong Kong and overseas regulators. She also managed the on-site and off-site regulatory inspections. In addition, she worked in thematic reviews with the SFC.



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Enquiry :
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Target Audience

1. Banking Partitioners
2. Compliance, Risk Management, Frontline Staff
3. HKIB Professional Qualification Holders :



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Application DEADLINE

8 October 2025 (Wednesday)

**PRICE
LIST**

Price

Individual
Member
HKD

HKD990

Staff of
Corporate
Member
HKD

HKD1,200

Non-
Member
HKD

HKD1,320