

Wealth Management Compliance Policies and Case Sharing in the Greater Bay Area

Programme Code: HGGB00P25121

4 December 2025 (Thursday)

12:00nn – 2:00pm

**2
HOURS**

**HKIB ECF SFC
CPD CPD CPT**

Programme Outline

- Overview of cross-boundary financial products and services designed for clients with China-related wealth, emphasizing tailored solutions in the Greater Bay Area
- In-depth look at family offices and cross-boundary wealth management strategies specific to the Greater Bay Area's unique market.
- Navigating the complex and evolving regulatory landscape, focusing on compliance challenges for cross-boundary wealth management sales.
- Key Greater Bay Area policy updates, pilot programs, and legal frameworks that shape cross-boundary wealth management practices.
- Case studies and enforcement examples illustrating compliance successes and breaches in cross-boundary wealth management, highlighting lessons learned.

Speaker Introduction

The speaker has 18+ years of experience in the financial compliance industry across global family offices, private banking, commercial banking, and insurance. She is currently Chief Compliance Officer at a Canadian-based Global Family Office, leading complex cross-border compliance strategies and overseeing digital assets. She previously held senior compliance roles at UBS AG and Huatai Financial Holdings. Appointed to the Hong Kong-Shenzhen Financial Cooperation Committee, she contributes to financial regulatory development. She also served as a board member of the Fintech Association of Hong Kong and lectures widely on compliance, fintech, and digital finance.

Target Audiences

1. Banking Practitioners Covering Cross Boundary Regional Businesses;
2. Wealth Managers, Compliance Officers, and Financial Analysts;
3. HKIB Professional Qualification Holders :



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Application DEADLINE

27 November 2025 (Thursday)



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**PRICE
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