HKIB The Hong Kong Institute of Bankers | CERTIFIED BANKER

FLEX LEARNING POWERED BY THE HKIB

# Compliance Risk Management in Banking - Identification, Assessment, and Emerging Regulatory Insights

**Programme Code: HGRS00P25123**

**12 December 2025 (Friday)**
**12:00nn – 2:00pm**

2 HOURS
HKIB CPD | ECF CPD | SFC CPT

#philipkamphotography

## Target Audiences

1. **Banking Practitioners**
2. **Risk Management Professionals and Compliance Officer**
3. **HKIB Professional Qualification holders :**

CAMLP AAMLP CCOP(GC) CCOP(IIC) ACOP
CCRP(CL) CCRP(CPM) ACRP ACSP
AGFP(PS) AGFP (ClimRM) AGFP (SDR) AGFP (SSCC) CRWP ARWP
CPFinT(M) CPFinT (S-AIBD) CPFinT (S-DLT) CPFinT (S-OBAPI) CPFinT (S-RT) CPFinT(A)
CORP AORP

## Programme Outline

- To equip participants with specialised skills to identify, assess, and mitigate regulatory compliance risks in Hong Kong banking operations.
- To integrate the latest regulatory updates into daily practices and address knowledge gaps in governance, consumer protection, disclosure requirements, and supervisory priorities.
- To enhance and foster proactive compliance cultures and reduce regulatory penalties and operational disruptions.
- Case studies.

## Speaker Introduction

The speaker, a seasoned legal and compliance professional with over 23 years of experience in regulatory affairs across Banking, Wealth Management, and Insurance. A qualified solicitor in Hong Kong and the UK, with a Master's in IT and Telecommunication Law. Currently Head of Compliance at a top insurance company, she held senior roles in global financial institutions, focusing on regulatory compliance, risk management, and policy development. Extensive collaboration with regulators like the HKMA, SFC, and IA provides invaluable insights into regulatory landscapes

## Programme Delivery

Virtual Classroom (Zoom)
Cantonese

## Application DEADLINE

5 December 2025 (Friday)

**APPLY NOW!**

**Enquiry :**
**(852) 2153 7800**
**programme@hkib.org**
**https://hkib.org/page/185**

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|---|---|---|---|
| Price | HKD660 | HKD800 | HKD880 |

ASCEND Advancing Future Banking Capabilities

HKIB's ASCEND training series equips banking professionals with the essential knowledge and skills identified in the "Joint Paper on Capacity Building for Future Banking 2026–2030", empowering them to thrive in the future banking landscape.