

Cultivating a Sound Bank Culture - Reflections on Ethics and Beyond

Programme Code: THGCP00P26015

19 January 2026 (Monday)
8:00pm – 10:00pm

2 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

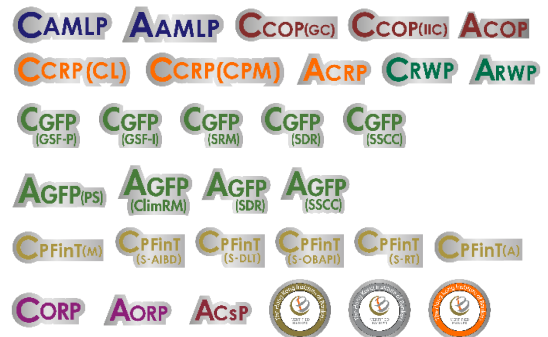
1. Refresher and updates on regulations related to ethics and conduct e.g. HKMA SPM CG-3
2. Reflections on ethical dilemmas though lessons learned - including local and overseas cases involving integrity issues
3. Case study exercises – going through decision making process
4. Sound bank culture and conduct – looking ahead

Speaker Introduction

The speaker has over 20 years' experience in the financial services industry. Currently, she teaches compliance and regulations in a local university as an adjunct associate professor. Previously, she served as the Head of Compliance for private banks. The speaker holds professional qualifications including CFA, FCCA, Certified Banker, CAMLP, CCOP(GC) and CCOP(IIC) etc. In addition, she holds a Master's degree in Regulation.

Target Audience

1. Banking Practitioners
2. Compliance and AML Officers, Financial Professionals
3. HKIB Professional Qualification Holders:



Programme Delivery

Virtual Classroom (Zoom)
Cantonese

Application DEADLINE

12 January 2026 (Monday)



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