

Regulatory Update on AML and Credit Risk: Key Lessons from Recent Enforcement Actions

Programme Code: THGCP00P26014

28 January 2026 (Wednesday)
7:00pm – 10:00pm

3 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

1. Credit Risk Management: The Latest HKMA Expectations

A focused review of key regulatory requirements and recent supervisory updates impacting credit risk assessment and management frameworks.

2. AML/CFT Regulatory Refresher

A high-speed update on critical changes to Hong Kong's AML/CFT Ordinance and associated guidelines, designed to refresh and confirm foundational knowledge.

3. Hong Kong Case Studies: Lessons from Local Enforcement

A detailed examination of recent HKMA and SFC enforcement actions. The session analyzes the root causes of failures in KYC/CDD, transaction monitoring, and risk assessment to extract key lessons for practical application

4. Global Perspectives: What Went Wrong Overseas?

Analysis of landmark international enforcement cases. This module examines the missteps of global financial institutions to provide benchmarks and strategies for strengthening compliance programs.

5. The Future of Compliance: Regulatory Trends & Implications

An exploration of the regulatory outlook on the use of data analytics, the adoption of RegTech, and the critical importance of embedding a proactive risk and compliance culture.

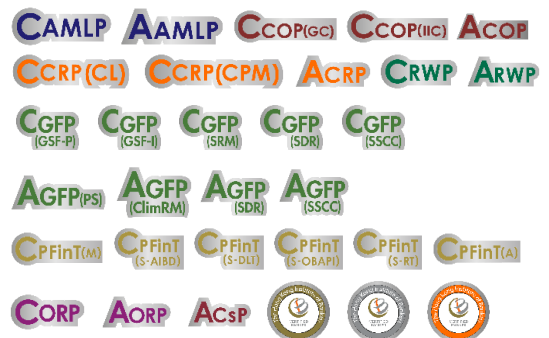
Speaker Introduction

The speaker currently serving as the Chief Executive of RD Wallet (SVF0016) and a Board Director of RD ezLink, this professional holds significant expertise in financial services. With a background in financial crime compliance and executive leadership, they bring a wealth of experience from various banking and regulatory roles. Certified Anti-Money Laundering Specialist (CAMS), Certified AML Professional (CAML P), Fellow of the Hong Kong Securities and Investment Institute (FHKSI); and Master degrees in Law (LLM, CUHK), Banking (MSc, CityU), and Asian Studies (MA, HKU); Bachelor degrees in Public & Social Administration (BA(Hons), CityU) and Law (LLB, MMU, UK).

Target Audience

1. Banking Practitioners
2. Compliance Officers, Risk Managers
3. HKIB Professional Qualification

Holders:



Programme Delivery

Virtual Classroom (Zoom)
English

Application DEADLINE

21 January 2026 (Wednesday)



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