

Technology
and Data
Soft
Skills
Banking
Knowledge

Enforcement Powers in Hong Kong, with Updates on Modernisation of Banking Ordinance

Programme Code: THGCP00P26032

30 March 2026 (Monday)
7:00pm – 10:00pm



HKIB SFC PWMA
CPD CPT OPT

Programme Outline

This session will explore the critical role of enforcement as a cornerstone of Hong Kong's regulatory framework. It will examine the distinct enforcement powers of the SFC and the HKMA, illustrated through real-world disciplinary cases, and look ahead to the strategic implications of the upcoming modernisation of the Banking Ordinance.

Programme outline:

1. Enforcement as a Regulatory Tool
2. Enforcement Powers of the SFC and HKMA
3. Disciplinary Actions – Illustrations
4. Jurisdictional Comparison
5. Upcoming Modernisation of Banking Ordinance

Speaker Introduction

The speaker has over 20 years' experience in the financial services industry. Currently, she teaches compliance and regulations in a local university as an adjunct associate professor. Previously, she served as the Head of Compliance for private banks. The speaker holds professional qualifications including CFA, FCCA, Certified Banker, CAMLP, CCOP(GC) and CCOP(IIC) etc. In addition, she holds a Master's degree in Regulation.

Target Audience

1. Banking Practitioners
2. Compliance Officers and Relationship Managers
3. HKIB Professional Qualification Holders (eligible for CPD Core Hours):

CCOP(GC) **CCOP(IIC)** **ACOP**

CCRP(CL) **CCRP(CPM)** **ACRP** **CRWP** **ARWP**

CPFinT(W) **CPFinT(S-AIBD)** **CPFinT(S-DLI)** **CPFinT(S-OBAP)** **CPFinT(S-RT)** **CPFinT(A)**

CORP **AORP** **ACsP**   

Programme Delivery

Virtual Classroom (Zoom)
Cantonese



Application DEADLINE

23 March 2026 (Monday)



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