HKIB The Hong Kong Institute of Bankers | CERTIFIED BANKER

Proudly Appointed As **Professional Qualifications Assessment Agency (PQAA)**

資歷架構 Qualifications Framework

Enhanced Competency Framework (ECF) on *Compliance (Core & Professional Level)*
Training and Examination Schedule

Important Notes:

1. HKIB is appointed as the Professional Qualifications Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue the **QF-recognised Professional Qualifications** (i.e. ACOP*/CCOP(GC)#/CCOP(IIC)^).

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to accreditation page under HKIB website for reference.

*Recognised under the QF at Level 4, QR Registration No.: 23/000890/L4, Validity Period from 01/11/2023 to 31/07/2030
#Recognised under the QF at Level 5, QR Registration No.: 23/000891/L5, Validity Period from 01/11/2023 to 31/07/2030
^Recognised under the QF at Level 5, QR Registration No.: 23/000892/L5, Validity Period from 01/11/2023 to 31/07/2030

Training[1]: Q4 2026 – 2027

CPD - HKIB CPD[2] CPT - SFC CPT

Module Name	Module No.	Code	Date	Time	Language (supplemented with **English** materials)	Application Deadline[5]	Fee[6,7] (HKD)
Ethics and Corporate Governance in Banking Industry[4] (Credit: 10)	**1**	**THECO01P26101** **FLEX Learning – Virtual Classroom**	7 Oct 2026 (Wed) 14 Oct 2026 (Wed) 21 Oct 2026 (Wed) 28 Oct 2026 (Wed) 4 Nov 2026 (Wed)	19:00 – 22:00	Cantonese	22 Sep 2026 (Tue)	4,400
		THECO01P27011 **FLEX Learning – Virtual Classroom**	5 Jan 2027 (Tue) 12 Jan 2027 (Tue) 19 Jan 2027 (Tue) 26 Jan 2027 (Tue) 2 Feb 2027 (Tue)	19:00 - 22:00	Cantonese	18 Dec 2026 (Fri)	4,500
		THECO01P27041 **FLEX Learning – Virtual Classroom**	10 Apr 2027 (Sat) 10 Apr 2027 (Sat) 17 Apr 2027 (Sat) 17 Apr 2027 (Sat) 24 Apr 2027 (Sat)	10:00 - 13:00 14:00 - 17:00 10:00 - 13:00 14:00 - 17:00 10:00 - 13:00	Cantonese	24 Mar 2027 (Wed)	4,500
		THECO01P27071 **FLEX Learning – Virtual Classroom**	8 Jul 2027 (Thu) 15 Jul 2027 (Thu) 22 Jul 2027 (Thu) 29 Jul 2027 (Thu) 5 Aug 2027 (Thu)	19:00 - 22:00	English	23 Jun 2027 (Wed)	4,500
		THECO01P27101 **FLEX Learning – Virtual Classroom**	16 Oct 2027 (Sat) 16 Oct 2027 (Sat) 23 Oct 2027 (Sat) 23 Oct 2027 (Sat) 30 Oct 2027 (Sat)	10:00 - 13:00 14:00 - 17:00 10:00 - 13:00 14:00 - 17:00 10:00 - 13:00	Cantonese	30 Sep 2027 (Thu)	4,500
Regulatory Framework and Compliance in Banking Industry[4] (Credit: 10)	**2**	**THECO02P26111** **FLEX Learning – Virtual Classroom**	16 Nov 2026 (Mon) 23 Nov 2026 (Mon) 30 Nov 2026 (Mon) 7 Dec 2026 (Mon) 14 Dec 2026 (Mon)	19:00 – 22:00	Cantonese	4 Nov 2026 (Wed)	4,400
		THECO02P27021 **FLEX Learning – Virtual Classroom**	16 Feb 2027 (Tue) 23 Feb 2027 (Tue) 2 Mar 2027 (Tue) 9 Mar 2027 (Tue) 16 Mar 2027 (Tue)	19:00 - 22:00	Cantonese	29 Jan 2027 (Fri)	4,500
		THECO02P27051 **FLEX Learning – Virtual Classroom**	22 May 2027 (Sat) 29 May 2027 (Sat) 29 May 2027 (Sat) 5 Jun 2027 (Sat) 5 Jun 2027 (Sat)	14:00 - 17:00 10:00 - 13:00 14:00 - 17:00 10:00 - 13:00 14:00 - 17:00	Cantonese	7 May 2027 (Fri)	4,500

Regulatory Framework and Compliance in Banking Industry[4] (Credit: 10)	**2**	**THECO02P27081** FLEX Learning – Virtual Classroom	12 Aug 2027 (Thu) 19 Aug 2027 (Thu) 26 Aug 2027 (Thu) 2 Sep 2027 (Thu) 9 Sep 2027 (Thu)	19:00 - 22:00	English	29 Jul 2027 (Thu)	4,500
		THECO02P27111 FLEX Learning – Virtual Classroom	13 Nov 2027 (Sat) 13 Nov 2027 (Sat) 20 Nov 2027 (Sat) 20 Nov 2027 (Sat) 27 Nov 2027 (Sat)	10:00 - 13:00 14:00 - 17:00 10:00 - 13:00 14:00 - 17:00 10:00 - 13:00	Cantonese	1 Nov 2027 (Mon)	4,500
An Effective Compliance Function (Credit: 20)	**3**	**THECO03P27041** Face-to-face Training	20 Apr 2027 (Tue) (LIVE) 27 Apr 2027 (Tue) (LIVE) 4 May 2027 (Tue) (LIVE) 11 May 2027 (Tue) (LIVE) 18 May 2027 (Tue) (VAT[3]) 25 May 2027 (Tue) (VAT[3])	19:00 – 22:00	English	6 Apr 2027 (Tue)	8,200
Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	**4**	**THECO04P27081** Face-to-face Training	18 Aug 2027 (Wed) (LIVE) 25 Aug 2027 (Wed) (LIVE) 1 Sep 2027 (Wed) (LIVE) 8 Sep 2027 (Wed) (LIVE) 22 Sep 2027 (Wed) (LIVE) 29 Sep 2027 (Wed) (VAT[3]) 6 Oct 2027 (Wed) (VAT[3])	19:00 – 22:00	English	4 Aug 2027 (Wed)	9,300
Investment and Insurance Compliance (Credit: 30)	**5**	**THECO05P26101** Face-to-face Training	7 Nov 2026 (Sat) 14 Nov 2026 (Sat) 14 Nov 2026 (Sat) 21 Nov 2026 (Sat) 21 Nov 2026 (Sat) 28 Nov 2026 (Sat) 28 Nov 2026 (Sat)	14:00 - 17:00 10:00 - 13:00 14:00 - 17:00 10:00 - 13:00 14:00 - 17:00 10:00 - 13:00 14:00 - 17:00	English	26 Oct 2026 (Mon)	7,000
		THECO05P27101 Face-to-face Training	20 Oct 2027 (Wed) 27 Oct 2027 (Wed) 3 Nov 2027 (Wed) 10 Nov 2027 (Wed) 17 Nov 2027 (Wed) 24 Nov 2027 (Wed) 1 Dec 2027 (Wed)	19:00 – 22:00	English	5 Oct 2027 (Tue)	7,200

Examination[1]: Q4 2026 – 2027

Candidates are suggested to select the most appropriate examination session according to their competence level and learning plan, including self-studying time to prepare for the examination.

Module Name	Module No.	Code	Date	Time	Application Deadline[5]	Fee[7] (HKD)
Ethics and Corporate Governance in Banking Industry (Credit: 10)	**1**	**EHECO01P26121**	9 Dec 2026 (Wed)	19:00 - 20:30	9 Nov 2026 (Mon)	600
		EHECO01P27031	9 Mar 2027 (Tue)	19:00 - 20:30	7 Feb 2027 (Sun)	620
		EHECO01P27051	24 May 2027 (Mon)	19:00 - 20:30	24 Apr 2027 (Sat)	620
		EHECO01P27091	7 Sep 2027 (Tue)	19:00 - 20:30	8 Aug 2027 (Sun)	620
		EHECO01P27111	30 Nov 2027 (Tue)	19:00 - 20:30	31 Oct 2027 (Sun)	620
Regulatory Framework and Compliance in Banking Industry (Credit: 10)	**2**	**EHECO02P27011**	14 Jan 2027 (Thu)	19:00 – 20:30	15 Dec 2026 (Tue)	620
		EHECO02P27041	23 Apr 2027 (Fri)	19:00 – 20:30	24 Mar 2027 (Wed)	620
		EHECO02P27071	15 Jul 2027 (Thu)	19:00 – 20:30	15 Jun 2027 (Tue)	620
		EHECO02P27101	14 Oct 2027 (Thu)	19:00 – 20:30	14 Sep 2027 (Tue)	620

An Effective Compliance Function (Credit: 20)	**3**	**EHECO03P27061**	30 Jun 2027 (Wed)	19:00 – 21:30	31 May 2027 (Mon)	1,630
Regulatory Compliance (Advanced) & Building a Sustainable Compliance Framework – General Compliance (Credit: 30)	**4**	**EHECO04P26101**	10 Nov 2026 (Tue)	19:00 – 22:00	10 Oct 2026 (Sat)	1,600
		EHECO04P27111	3 Nov 2027 (Wed)	19:00 – 22:00	4 Oct 2027 (Mon)	1,630
Investment and Insurance Compliance (Credit: 30)	**5**	**EHECO05P26111**	13 Jan 2027 (Wed)	19:00 – 22:00	14 Dec 2026 (Mon)	1,630

Special Note: Learners are required to complete the training before attempting the examination.

Programme Details:

Programme/ Module Outline	:	Please refer to programme brochure and handbook for details.
Venue:	:	3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
Enrolment	:	Applicants can submit the application via MyHKIB in advance at most 6 months before.

Notes:

Note	Category	Details
1	**Training / Examination**	HKIB reserves the right to cancel, change, and/or postpone the training and/or examination.
2	**CPD**	By attending the above training, Professional Qualification holders of AAMLP,CAMLP, ARWP, CRWP, ACsP, AORP, CORP, ACOP, CCOP(GC), CCOP(IIC), ACRP, CCRP(CL), CCRP(CPM), CPFinT(A), CPFinT(M), CPFinT(S-AIBD), CPFinT(S-DLT), CPFinT(S-OBAPI), CPFinT(S-RT), AGFP(PS), AGFP(ClimRM), AGFP(SDR), AGFP(SSCC), CGFP(GSF-P), CGFP(GSF-I), CGFP(SRM), CGFP(SDR), CGFP(SSCC), CB (Stage I), CB (Stage II), CB, and CFMP are eligible to claim HKIB CPD hours.
3	**VAT Training Mode**	Designated chapters of Module 3 & 4 are delivered using Video-Assisted Training with pre-recorded videos and facilitation support of designated teaching assistant.
4	**Module Content**	Module 1 and Module 2 are identical for both ECF-ORM and ECF-Compliance. Hence, an RP who has completed Module 1 and/or Module 2 under either of these ECF streams will not be required to complete the same module(s) under the other ECF stream. For example, if you have already completed ECF-ORM Module 1, you are not required to study ECF-Compliance Module 1.
5	**Late Application**	A late entry fee of HK$200 will apply for late training and examination enrolment respectively.
6	**Training Material**	Digital version of training material (e.g. Study Guide, PPT) will be provided before training commencement. Printed version will only be available at an additional cost of HKD600 (including delivery fee) on request by learners.
7	**Fee Discount**	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.

For General Enquiries / Feedback:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C)

Tel: (852) 2153 7800 Email: cs@hkib.org