

## Recent Developments in Supervision of Anti-Money Laundering and Counter-Financing of Terrorism

7 June 2018 (Thursday)

### Key Session Features

Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) continues to be an item high on the agenda of both regulators and banks, with increasing attention being placed on the effectiveness of the risk-based AML/CFT systems implemented by the banking industry in mitigating genuine risks associated with money-laundering and terrorist financing (ML/TF).

In the seminar, the HKMA will share and discuss the following areas:

- (i) findings and outcomes from the ML/TF risk assessment on Hong Kong; and their relevance to the risk-based approach required by the HKMA on AML/CFT;
- (ii) supervisory work of the HKMA to enhance the effectiveness of banks' efforts in managing their ML/TF and sanctions risks; and
- (iii) the Financial Action Task Force (FATF)'s Mutual Evaluation of Hong Kong in 2018 and the implications for the banking sector.

The HKMA will also discuss about its AML/CFT supervisory focuses in 2018 and ways to achieve its policy objectives under such focuses.

### Target Participants

The seminar is useful for banking practitioners **at all levels of all AIs** who would like to understand more about the HKMA's supervisory work on AML/CFT.

It is particular relevant to banking practitioners who are responsible for the implementation of AML/CFT systems, e.g. compliance officers, money laundering reporting officers and bank management.

### Speakers

#### Carmen Chu

Executive Director, Enforcement & AML  
The Hong Kong Monetary Authority

#### Stewart McGlynn

Division Head, AML & Financial Crime Risk Division  
The Hong Kong Monetary Authority

### Seminar Details

	HKIB CPD	SFC CPT
	2 Hours	2 Hours
Date	: 7 June 2018 (Thursday)	
Time	: 3:00 pm – 5:00 am (2 hours)	
Registration	: 2:00 pm	
	Please present identity proof and two business cards for registration.	
Venue	: N101, Hong Kong Convention and Exhibition Centre (New Wing) 1 Expo Drive, Wanchai. Hong Kong	
Language	: English	
Fee	: HK\$450 per participant	
Enrollment	: By <b>Fax</b> at (852) 2544 9946 or By <b>Email</b> to project@hkib.org	
Enquiry	: (852) 2153 7818 (852) 2153 7895	

**\* Limited seats.  
Early enrollment is recommended.**

Please complete in English

**Registration**  
**Recent Developments in Supervision of**  
**Anti-Money Laundering and Counter-Financing of Terrorism**

No	Surname	First Name	Department	Position	Contact no.	E-mail Address <small>(Confirmation e-mail will be sent one week before course commencement)</small>
	(Same as on ID Card)					
1						
2						
3						
4						
5						
6						

Company Name : \_\_\_\_\_

Mailing Address : \_\_\_\_\_

(Please complete the below address box if you want to collect the programme certificate)

Contact Person (if applicable)

Name : \_\_\_\_\_ Position : \_\_\_\_\_

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**Payment Method**

Cheque / e-cheque\* made payable to "The Hong Kong Institute of Bankers"

Amount : HK\$ \_\_\_\_\_ Cheque no. \_\_\_\_\_

\* For **Cheque**,  
please mail to: **The Hong Kong Institute of Bankers, 3/F., Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong**

\* For **e-Cheque**,  
please state the **programme code** under "remarks" and **email together with the completed registration form to programme@hkib.org**

Credit Card : VISA / Master

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**Terms and Conditions**

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail prior to the course commencement.
3. All payments must be settled before course commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "[HKIB Attendance Policy](#)". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the course due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the typhoon or rainstorm arrangements, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).

\* This address is only used for sending the programme certificate and official receipt.

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