



HKMA's Approach to Conduct and Culture Supervision

12 March 2024 (Tuesday)

Key Session Features

Consumer protection, trust and confidence are key to the healthy business growth and the long-term success of banks, which will in turn contribute to Hong Kong's status as a premier international financial centre. None of these elements operates independently. Instead, they are linked with and built upon each other. Only when consumers are well protected would they entrust their wealth to banks, which is not only the foundation of every banking business, but also a core pillar supporting the sustainable development of the banking industry.

The crux of the matter hinges on how to achieve the objectives of upholding consumer and investor protection, enhancing customer experience and supporting market growth and development at the same time.

- In this Dialogue, the HKMA will discuss its **approach** to conduct and culture supervision.
- Through **case studies**, the HKMA will illustrate its journey in formulating conduct and culture **supervision policies** and carrying out **supervisory work** with reference to **international practices**.
- The HKMA will also provide participants with a **forward-looking view** of areas of its **supervisory focus** on conduct and culture matters.

Target Participants

Directors of Board, senior bankers, and practitioners at all levels of all AIs are encouraged to attend the Dialogue.

This Dialogue is also an **on-boarding seminar** for bank directors who were appointed in the last two years.

- * **Limited seats.**
Early enrolment is recommended.

Speakers

**Hong Kong Monetary Authority
Banking Conduct Department**

Alan AU
Executive Director

Division Heads:

Tess LEUNG

Andrew WU

Kevin SHAM

Seminar Details

HKIB CPD / SFC CPT / PWMA OPT / ECF CPD - 2 hours

Date	: 12 March 2024 (Tuesday)
Time	: 9:00 – 11:30 am <ul style="list-style-type: none"> • 9:00 – 9:30 am: Networking session with light food and beverage • 9:30 – 11:30 am: The Dialogue and Q&A session
Registration	: 8:30am Please present confirmation e-mail and a business card for registration.
Venue	: Convention Hall, HKCEC 1 Expo Drive Wan Chai, Hong Kong
Language	: English
Fee	: HK\$ 600 per participant
Enrolment	: Click HERE for the enrolment form. Please fill it out and return it via email to project@hkib.org
Enquiry	: (852) 2153 7872 / (852) 2153 7892 project@hkib.org