

Technology  
and DataSoft  
SkillsBanking  
Knowledge

# AML and Fraud Compliance: The Latest RegTech Applications

Programme Code: THGCP00P26051

7 May 2026 (Thursday)

7:00pm – 10:00pm


**3  
HOURS**

HKIB SFC PWMA  
CPD CPT OPT

## Programme Outline

The Hong Kong Monetary Authority (HKMA) has consistently advocated for the adoption of Regulatory Technology (RegTech), highlighting its potential to enhance customer experience, drive financial inclusion, strengthen risk management and regulatory compliance, improve supervisory effectiveness, and reduce systemic risk. However, the successful implementation of RegTech demands addressing key challenges, including technology integration, talent shortages, and the need for cultural transformation within financial institutions.

This course will examine the latest RegTech applications in anti-money laundering (AML) and fraud compliance. Key technologies include facial and voice recognition, natural language processing and generation (NLP/NLG), and machine learning. These tools are increasingly used in customer onboarding, risk profiling, screening, transaction monitoring, data analysis, and investigation.

Participants will explore how RegTech solutions such as supervised machine learning for customer segmentation and alert classification are transforming compliance operations. Case studies will illustrate the use of network analysis tools to uncover financial crime, such as identifying hidden relationships between seemingly unrelated parties based on shared transaction attributes or revealing a common remitter linked to multiple overseas ATM withdrawals.

By the end of the course, attendees will gain insights into how financial institutions can strategically implement RegTech to enhance AML and fraud detection frameworks.

## Speaker Introduction

The speaker brings over 30 years of professional experience spanning both the public and private sectors. He is now a senior teaching staff member at a local university. He formerly served as Head of Personal Banking Fraud Management at a leading bank, with specialised expertise in fraud detection and mitigation across digital banking, credit cards, loans, and over-the-counter fraud. He is the author of two published articles on fraud in Banking Today. He holds a PhD, an MBA and an MSc. He is also an accredited mediator.

## Target Audience

1. Banking Practitioners
2. Compliance Officers, IT Professionals, Risk Managers.
3. HKIB Professional Qualification Holders (eligible for CPD Core Hours):



## Programme Delivery

Virtual Classroom (Zoom)  
Cantonese



## Application DEADLINE

30 April 2026 (Thursday)



**APPLY NOW!**

Enquiry : (852) 2153 7800  
[programme@hkib.org](mailto:programme@hkib.org)  
<https://hkib.org/page/284>

PRICE  
LIST

Price

Individual  
Member  
HKD

HKD990

Staff of  
Corporate  
Member  
HKD

HKD1,200

Non-  
Member  
HKD

HKD1,320