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Banking Gatekeeping for MSO Licensees in Hong Kong: Navigating AML, Nesting Risks, and Regulatory Asymmetries

Programme Code: THRCPO0P26071

15 July 2026 (Wednesday)

7:00pm – 10:00pm


**3
HOURS**

HKIB SFC PWMA
CPD CPT OPT

Programme Outline

Objective: Provide banking professionals with a practical framework to assess, onboard, monitor, and manage MSO licensees, with focus on AML/CFT risks, nesting exposure, regulatory gaps, and varied MSO business models.

Session 1: Regulatory Landscape and Nesting

- MSO regulation under AMLO/C&ED versus HKMA bank supervision
- Diversity of MSO business models and risk profiles
- FATF and HKMA expectations on nested relationships
- Gatekeeping role of banks and impact of the Stablecoin Ordinance

Session 2: Onboarding and Risk Assessment

- Business model analysis across FX, remittance, payments, crypto, and hybrid MSOs
- Licensing, legal verification, and independent legal opinions
- AML/CFT control assessment and personnel quality
- Common red flags, abusive practices, and practical onboarding scenarios

Session 3: Crypto, Stablecoins, and Cross-Border Risks

- Regulatory grey areas and foreign-platform risks
- Crypto/stablecoin typologies, KYT limitations, and evasion tactics
- Mitigation strategies by MSO mode

Session 4: Monitoring, Governance, and Preparedness

- KRIs, triggers, escalation, remediation, and offboarding
- Internal governance and HKMA review readiness
- MSO relationship management maturity model

Speaker Introduction

The speaker is the former Chief Executive of a licensed SVF, this professional holds significant expertise in financial services. With a background in financial crime compliance and executive leadership, they bring a wealth of experience from various banking and regulatory roles. Certified Anti-Money Laundering Specialist (CAMS), Certified AML Professional (CAMLPA), Fellow of the Hong Kong Securities and Investment Institute (FHKSI); and Master degrees in Law (LLM, CUHK), Banking (MSc, CityU), and Asian Studies (MA, HKU); Bachelor degrees in Public & Social Administration (BA(Hons), CityU) and Law (LLB, MMU, UK).

Target Audience

1. Banking Practitioners
2. Compliance Officers, Risk Managers and Relationship Managers
3. HKIB Professional Qualification Holders (eligible for CPD Core Hours):



Programme Delivery

Virtual Classroom (Zoom)
Cantonese



Application DEADLINE

8 July 2026 (Wednesday)



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