

Technology
and Data
Soft
Skills
Banking
Knowledge

Ethics and Insider Trading: Navigating Material Non-Public Information (MNPI) in Hong Kong's Regulatory Landscape

Programme Code: THRCPO0P26083

25 August 2026 (Tuesday)
8:00pm – 10:00pm

2 HOURS
HKIB SFC PWMA
CPD CPT OPT

Programme Outline

- Part 1: Understanding Material Non-Public Information: Definitions and Boundaries
- Part 2: Hong Kong Regulatory Landscape
- Part 3: Ethical Decision Making Framework
- Part 4: Information Barriers and Practical Controls
- Part 5: Role Specific Navigating Material Non-Public Information Risks
- Part 6: Case Studies
- Part 7: Best Practices and Takeaways

Speaker Introduction

The speaker has over 20 years' experience in the financial services industry. Currently, she teaches compliance and regulations in a local university as an adjunct associate professor. Previously, she served as the Head of Compliance for private banks. The speaker holds professional qualifications including CFA, FCCA, Certified Banker, CAMLP, CCOP(GC) and CCOP(IIC) etc. In addition, she holds a Master's degree in Regulation.

Target Audience

1. Banking Practitioners
2. Compliance Officers, Relationship Managers and IT Professionals
3. HKIB Professional Qualification Holders (eligible for CPD Core Hours):



Programme Delivery

Virtual Classroom (Zoom)
English



Application DEADLINE

18 August 2026 (Tuesday)



APPLY NOW!

Enquiry : (852) 2153 7800
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