



# Corporate Governance for Fraud Prevention in Risk Management Prospective

26 February & 5 March 2018

HKIB CPD	SFC CPT
6 Hours	6 Hours

## Programme Objectives

Regulators have increased concerns over the fraud issues especially related to internet finance and business in China. Banks, with business exposures in China, are now devoting more and more resources to strengthen their fraud prevention framework to copy with the new challenges due to technology evolution, change in regulations, geographically diversification and industry integration. An effective corporate governance is the root to strength internal control of companies in China.

The course will discuss the good practices as well as the internal control and corporate governance framework to fight against fraud. Participants will be able to determine the levels of corporate governance of their clients (especially for corporate lending) and facilitate the process for post-credit monitoring.

## Programme Outline

- What is corporate governance
- Characteristics of corporate governance for China clients
- What is fraud and cost of fraud
- Types of financial fraud in China
- China Corporate governance guidelines and regulation
- Parties involved in the corporate governance in China business context
- Fraud related responsibilities in China business context
  - Board of directors and board committees
  - Management
  - Shareholders
- External auditors and internal auditors
- Role of corporate governance for against fraud
- Principles of corporate governance and its functions
- Management responsibilities of internal control
- Fraud risk management
- Fraud prevention policy and program

## Target Audience

Risk management officers, legal executives, fraud investigation officers, compliance officers, internal auditors, external auditors, bankers, insurance underwriters, business development officers, investors.

## Speaker

The speaker has over 10 years of M&A, corporate finance, private equity investment, real estate acquisition and management experience in China. He is currently a senior investment director of the private equity alternative investment fund. The speaker holds Master of Law degree, Postgraduate Diploma in accountancy and Bachelor degree of Business Administration (financial engineering). He is also a CFA, CAIA, FRM, licensed China Corporate Legal Counsel, certified China Economist, certified China Banker (credit analysis) and Chartered Valuation Surveyor (MRICS). The speaker works seasonally as an instructor for legal, compliance, finance and management subjects in various universities and associations. He also serves as the legal & investment consultant for American & Chinese Cultural Exchange Association.

## Programme Details

Language	: Cantonese (supplemented with English materials)
Date	: 26 February & 5 March 2018 (Monday)
Time	: 19:00 – 22:00
Duration	: 6 hours
Venue	: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Sheung Wan (Sheung Wan MTR Station Exit C)
Fee	: HK\$1,800 (HKIB Individual Member) HK\$2,160 (Staff of Corporate Member) HK\$2,400 (Non-member)
Enrollment	: By fax at 2544 9946 or Submit to our Institute in person
Enquiries	: (852) 2153 7877 or (852) 2153 7865
Email	: programme@hkib.org

## Registration Form

Corporate Governance for Fraud Prevention in Risk Management Prospective (HP-P18-0206)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organization : _____
Department : _____	Position : _____
E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No : _____
Mailing Address : _____ <small>(Please provide a mailing address if you want to collect the programme certificate)</small>	

Payment Method :  A cheque / e-Cheque\* made payable to "The Hong Kong Institute of Bankers" (Cheque no. \_\_\_\_\_)  
\* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card :  VISA  Master      Amount : HK\$ \_\_\_\_\_

Cardholder's Name : \_\_\_\_\_      Signature : \_\_\_\_\_

Card No. : \_\_\_\_\_      Expiry Date : \_\_\_\_\_ (mm/yy)

## How did you know about this programme?

- Website  HR Department  Friends / Colleagues  E-News  HKIB Counter  Others (Please specify) \_\_\_\_\_

### Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrollment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority to register if there is limited seat remained for the programme.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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